

OFFICE OF PRICE ANDERSON ENFORCEMENT

Mission

Implement the Department of Energy's (DOE) Congressionally mandated nuclear safety and occupational, safety and health enforcement programs.

Functions

1. Initiates and resolves enforcement actions in accordance with the process and procedures set forth in 10 CFR Part 820 Issues Notices of Violation. Coordinates as necessary with the Office of General Counsel (GC) to ensure that legal sufficiency issues are resolved prior to issuance of a Notice of Violation. DOE Field Office and/or Headquarters Price-Anderson Coordinators track the contractor resolution of issues under proposed.
2. Investigates potential violations of enforceable requirements, as well as certain nuclear safety and worker safety and health concerns raised by workers.
3. Works closely with DOE field and program elements, GC and the Office of Nuclear Safety Policy and Standards, as necessary, in developing implementation and compliance policies and procedures.
4. Assists in creating the infrastructure to implement the compliance assurance programs necessary to comply with enforceable requirements.
5. Encourages contractor self-identification and reporting of potential noncompliances which can provide for up to 100% mitigation of civil penalties when contractors promptly identify, report and correct violations and coordinates, manages and develops the program and policies for self-identification and reporting for the Noncompliance Tracking System.
6. Focuses DOE's limited resources on those violations which, due to the actual or potential safety significance of the violations, are cause for regulatory concern. Analyzes existing information regarding nuclear safety and worker safety and health related events and develops safety significance thresholds for evaluating potential violations for enforcement.
7. With support from the appropriate field or Headquarters technical staff, conducts the necessary independent investigation and technical evaluation to determine the facts and safety significance of a case. Violations having the requisite safety significance to warrant a major enforcement action involving a civil penalty constitute the smallest number of cases.
8. Holds Enforcement Conferences with contractors when investigations concludes that there is sufficient basis to believe that a violation warranting formal enforcement action may have occurred.

9. If a formal enforcement action is considered the appropriate remedy at the conclusion of the enforcement conference, issues the proposed enforcement action.

10. Cases having the potential for significant impact on DOE are elevated by the Assistant Secretary for EH as appropriate.