

INSTRUCTIONS

Any U.S. firm desiring to participate in NATO International Competitive Bidding (ICB) must be certified by the Office of Strategic Industries and Economic Security, Bureau of Industry and Security, U.S. Department of Commerce. **If a change in corporate status (e.g. merger, acquisition or re-organization of the relevant business unit) occurs, a new application for certification should be submitted to the Office of Strategic Industries and Economic Security.**

Applicants are required to submit the following data for each company entity (i.e., main office, branch, subsidiary, etc...) to be certified for NATO bidding:

1. One completed copy of form BIS-4023P. A separate form must be submitted for each entity.
2. Complete name and address of entity to be certified entered in item 1 of form BIS-4023P.
3. Items 3 and 7 of form BIS-4023P signed and dated by a security office and a ranking company official, respectively, of the entity seeking certification.
4. Item 3 of form BIS-4023P requests security information required to qualify applicants for participation in classified NATO bidding. Defense Investigative Service (DIS) clearance is to be shown for each entity applying for certification if such clearance has been granted. The date of clearance and the DIS security clearance does not disqualify a company with a Department of Commerce certification from bidding on unclassified NATO projects.
5. To assist in establishing a company's technical ability, a narrative resume in item 5 of the enclosed form should provide a description of the company's recent technical contracts, with

references to work performed for the U.S. and local governments, as well as foreign countries.

6. Item 6 of form BIS-4023P is to identify by North American Industry Classification (NAIC) code number descriptions of all manufacturers and/or services to be considered for NATO ICB for each company entity. Selected NAIC numbers are provided in the enclosed form. If other NAIC numbers are required, please make reference to the North American Industry Classification System Manual issued in 1997 by the Statistical Policy Division, Office of Management and Budget, Executive Office of the President, U.S. Government. It is for sale by the Superintendent of Documents, U.S. Government Printing Office, P.O. Box 37954, Pittsburgh PA 15250-7954. Call for current price and availability (202-512-1800). The manual is also available for reference at any of the 100 Export Assistance Centers of the International Trade Administration, U.S. Department of Commerce, and at major public and college libraries.
7. A current annual report, including the firm's balance sheet, or a financial report prepared and verified by a CPA. If separate annual reports are not prepared by the subsidiary entities, the financial report for the parent company may be submitted.

If you have any questions, feel free to contact Mr. David Newsom, at (202) 482-7417 (phone); (202) 482-5650 (fax), or dnewsom@bis.doc.gov (e-mail).

REQUESTED INFORMATION MUST BE TYPEWRITTEN

<p>1. Name, address, telephone and fax number of company's principal office, division, branch, subsidiary or other entity for which certification is desired. <u>One form must be completed for each entity.</u> (Copies may be made of this form or extra copies requested from the Bureau of Industry And Security).</p> <p>Name: Street Address: City: State: Zip: Phone Number: Fax Number: E-mail (optional):</p>	<p>Form BIS-4023P (6-04)</p> <p>OMB NO. 0694-0128 Expires: 6-30-07</p>								
<p>2. FOR COMMERCE USE ONLY:</p>	<p>U.S. DEPARTMENT OF COMMERCE BUREAU OF INDUSTRY AND SECURITY</p> <p>NATO INTERNATIONAL COMPETITIVE BIDDING (ICB) BIDDERS LIST APPLICATION</p> <p>No certification may be granted unless a complete application form has been received. (15 U.S.C. 1512 et seq.; 15 U.S.C. 171 et seq.)</p> <p>U.S. Department of Commerce Bureau of Industry and Security ATTN: NATO Procurement Officer HCHB 3876 Washington, DC 20230</p>								
<p>3. Security Clearance and Classified Document Storage Capability of Organization in Item 1:</p>									
<p>a. FSC/Cage Number:</p> <table border="0"><tr><td>Facility Clearance</td><td>Storage Clearance</td></tr><tr><td><input type="checkbox"/> Top Secret</td><td><input type="checkbox"/> Top Secret</td></tr><tr><td><input type="checkbox"/> Secret</td><td><input type="checkbox"/> Secret</td></tr><tr><td><input type="checkbox"/> Confidential</td><td><input type="checkbox"/> Confidential</td></tr></table> <p>Indicate if Security Officer is NATO Briefed by DIS: <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Facility Clearance	Storage Clearance	<input type="checkbox"/> Top Secret	<input type="checkbox"/> Top Secret	<input type="checkbox"/> Secret	<input type="checkbox"/> Secret	<input type="checkbox"/> Confidential	<input type="checkbox"/> Confidential	<p>b. List Agency Issuing Security Clearance, City, and Date:</p> <p>Agency Name: City: Date clearance was issued: <i>mm/dd/yyyy</i></p>
Facility Clearance	Storage Clearance								
<input type="checkbox"/> Top Secret	<input type="checkbox"/> Top Secret								
<input type="checkbox"/> Secret	<input type="checkbox"/> Secret								
<input type="checkbox"/> Confidential	<input type="checkbox"/> Confidential								
<p>c. Name of Company's Security Officer for Organization – Listed in Item 1:</p>									
<p>The Security Officer of the firm listed in Item 1 is cognizant that certain NATO requests for bid will involve NATO classified information and is aware of that section of the Department of Defense Industrial Security Manual which applies to handling and storage.</p>	<p>Signature of Security Officer: _____ Date: _____ <i>mm/dd/yyyy</i></p>								
<p>4. If the entity listed in Item 1 maintains an office in Europe, please complete the following:</p> <p>Name: Address:</p>	<p>Phone Number: Fax Number:</p>								
<p>Public reporting for this collection of information is estimated to be 1 hour per response, including the time for reviewing instructions, and completing and reviewing the collection of information. All responses to this collection of information are voluntary and will be provided confidentially to the extent allowed under the Freedom of Information Act. Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a current valid OMB Control Number. Send comments regarding this burden to the Reports Clearance Officer, Bureau of Industry and Security, US Department of Commerce, Room 6625, 14th and Constitution Avenue, NW, Washington, D.C. 20230.</p>									

5. Remarks: *Please provide a resume of applicant's recent job experiences, with reference to work performed for U.S., local, and foreign governments, and other information which will assist in establishing the applicant's professional competence. (If additional space is needed, continue on a separate sheet.)*

6. Please refer to the attached list of North American Industry Classification (NAICS) Numbers and enter below the numbers and description of the equipment manufactured and/or services offered by the company entity making application for certification on this form. If other NAICS numbers are required, please enter them and related description of equipment and/or services.

NAICS#	Description	Manufacturer	Services

7. CERTIFICATION: I, the undersigned, certify that the information on this form and the accompanying data are true and correct to the best of my knowledge and belief.

Name and title of official who should be contacted if questions arise regarding this questionnaire:

Phone Number: _____

E-Mail: _____

Signature:

Date:

mm/dd/yyyy

¹ North American Industry Classification System Manual, Statistical Policy Division, Office of Management and Budget, Executive Office of the President, U.S. Government, 1997. For sale by the Superintendent of Documents, U.S. Government Printing Office, P.O. Box 371954, Pittsburg, PA 15250-7954. Call for current price and availability (202-512-1800). Also available for reference at one of over 100 Export Assistance Centers of the International Trade Administration/U.S. Department of Commerce and at major public and college libraries.

PRODUCT AND SERVICES

NAICS #	DESCRIPTION
23	CONSTRUCTION
<u>233</u>	<u>Building, Developing & General Contracting</u>
2333	Nonresidential Building Construction
23331	Mfg & Industrial Building Construction
23332	Commercial & Institutional Bldg Construction
<u>234</u>	<u>Heavy Construction</u>
2341	Highway, Street, Bridge & Tunnel Construction
2349	Other Heavy Construction
23491	Water, Sewer, & Pipeline Construction
23492	Power/Communication Transmission Line Construction
23493	Industrial Nonbuilding Structure Construction
31-33	MANUFACTURING
<u>334</u>	<u>Computer & Electronic Product Mfg</u>
3341	Computer & Peripheral Equipment Manufacturing
33411	Electronic Computer Mfg
334112	Computer Storage Device Mfg
334113	Computer Terminal Mfg
334119	Other Computer Peripheral Equipment Mfg
3342	Communications Equipment Mfg
33421	Telephone Apparatus Mfg
33422	Radio/TV Broadcast & Wireless Communication Equipment Mfg
33429	Other Communications Equipment Mfg
3343	Audio & Video Equipment Mfg
33431	Audio & Video Equipment Mfg
3344	Semiconductor & Other Electronic Component Mfg
33441	Semiconductor & Other Electronic Component Mfg
334411	Electron Tube Mfg
334412	Bare Printed Circuit Board Mfg
334413	Semiconductor & Related Device Mfg
334414	Electronic Capacitor Mfg
334415	Electronic Resistor Mfg
334416	Electronic Coil/Transformer/Other Inductor Mfg
334417	Electronic Connector Mfg
334418	Printed Circuit Assembly (Electronic Assembly) Mfg
334419	Other Electronic Component Mfg
3345	Navigational/Measuring/Electromedical/Control Instruments Mfg
33451	Navigational/Measuring/Electromedical/Control Instruments Mfg
334511	Search, Detection & Navigation Instrument Mfg
334515	Electricity Measuring/Tosting Instrument Mfg
334519	Other Measuring & Controlling Device Mfg
<u>335</u>	<u>Electrical Equipment, Appliance & Components Mfg</u>
3353	Electrical Equipment Mfg
335311	Power/Distribution/Specialty Transformer Mfg
33592	Communication & Energy Wire & Cable Mfg
335921	Fiber Optical Cable Mfg

PRODUCT AND SERVICES

NAICS #	DESCRIPTION
<u>336</u>	<u>Transportation Equipment Mfg</u>
3361	Motor Vehicle Mfg
336111	Automobile Mfg
33612	Heavy Duty Truck Mfg
3363	Motor Vehicle Parts Mfg
3364	Aerospace Produce & Parts Mfg
336411	Aircraft Mfg
33603	Other Aircraft Part & Auxiliary Equipment Mfg
336414	Guided Missile & Space Vehicle Mfg
336415	Missile/Space Vehicle Propulsion Unit & Parts Mfg
336992	Military Armored Vehicle, Tank & Tank Components Mfg
336999	All Other Transportation Equipment Mfg
51	INFORMATION
<u>513</u>	<u>Broadcasting & Telecommunications</u>
5131	Radio & Television Broadcasting
51311	Radio Broadcasting
5133	Telecommunications
51331	Wired Telecommunications Carriers
51332	Wireless Telecommunications Carriers (excluding Satellites)
513322	Cellular & Other Wireless Telecommunications
51333	Telecommunications Resellers
51334	Satellite Telecommunications
51339	Other Telecommunications
<u>514</u>	<u>Information & Data Processing Services</u>
5141	Information Services
5142	Data Processing Services
54	PROFESSIONAL, SCIENTIFIC & TECHNICAL SERVICES
<u>541</u>	<u>Professional, Scientific & Technical Services</u>
5413	Architectural, Engineering & Related Services
54131	Architectural Services
54133	Engineering Services
5414	Specialized Design Services
5415	Computer Systems Design & Related Services
541511	Custom Computer Programming Services
541513	Computer Facilities Management Services
5416	Management, Scientific & Technical Consulting Services
54161	Management Consulting Services
81	OTHER SERVICES (EXCEPT PUBLIC ADMINISTRATION)
<u>811</u>	<u>Repair and Maintenance</u>
8112	Electronic & Precision Equipment R&M
811212	Computer & Office Machine R&M
811213	Communication Equipment R&M
811219	Other Electronic & Precision Equipment R&M