

HEALTH RECORDS	All research facilities should have a system of health records. [3.110, Policy #3]
Requirements	<p>Health records must be:</p> <ul style="list-style-type: none"> • current • legible • sufficiently comprehensive to demonstrate the delivery of adequate veterinary care • consistent with professional standards <p>NOTE: A system of health records should be described in the written Program of Veterinary Care for those research facilities not employing a full time veterinarian.</p>
Contents	<p>Health records should include, but not limited to:</p> <ul style="list-style-type: none"> • identity of the animal • description of any: <ul style="list-style-type: none"> ▸ illness ▸ injury ▸ distress ▸ behavioral abnormality • resolution of any noted problem(s) • dates, details, and results (if appropriate) of all medically-related: <ul style="list-style-type: none"> ▸ observations ▸ examinations ▸ tests ▸ procedures, such as: <ul style="list-style-type: none"> R vaccinations R fecal examinations R radiographs R surgeries R necropsies • treatment plans which should include: <ul style="list-style-type: none"> ▸ diagnosis ▸ prognosis, when appropriate ▸ type of treatment ▸ frequency of treatment ▸ duration of treatment

	<ul style="list-style-type: none"> ▶ criteria and/or schedule for re-evaluation by the attending veterinarian ▶ allowable activity level for the animal ▶ restriction, if any, for the animal • treatment history which should include: <ul style="list-style-type: none"> ▶ dates of all treatment ▶ names of drugs or other medications given ▶ dose & route ▶ frequency ▶ duration of treatment
Record-keeping	<p>Records may be kept in any format the research facility chooses as long as all required information is readily available.</p> <p><i>Group health records</i> Routine husbandry and preventive medical procedures performed on a group of animals may be recorded on herd-health type records.</p> <p><i>Individual health records</i> Treatment of an individual animal must be on an entry specific for that animal.</p>
Availability	<p>Health records at a research facility may be held by:</p> <ul style="list-style-type: none"> • the attending veterinarian • investigator(s) • other designated employee(s) <p>NOTE: Health records must be readily available.</p> <p>If health records are divided between personnel, the records must be:</p> <ul style="list-style-type: none"> • appropriately cross-referenced • readily available • complete with all required information <p>Health records must be held:</p> <ul style="list-style-type: none"> • for at least 1 year after the animal's disposition or death • longer than 1 year as required by other applicable laws or policies

Species Specific

A copy of an animal's health records must accompany the animal when it is transferred to another research facility, person or location.

The transferred health record should contain:

- the animals's medical history
- information on any ongoing or chronic problems
- most current preventive medical procedures, such as:
 - ▶ vaccinations
 - ▶ deworming

Marine Mammals [3.110]

Individual marine mammal medical/health records must be kept and include the following information, at a minimum:

- animal identification/name [3.110(d)(1)]
- a physical description, such as: [3.110(d)(1)]
 - ▶ identifying markings
 - ▶ scars
- age [3.110(d)(1)]
- sex [3.110(d)(1)]
- physical examination information, including, but not limited to: [3.110(d)(2)]
 - ▶ length
 - ▶ weight
 - ▶ physical examination results by body system
 - ▶ identification of all medical and physical problems
 - ▶ proposed plan of action for medical/physical problems
 - ▶ all diagnostic test results
 - ▶ documentation of treatment
- visual examination information

Individual animal medical/health records must be: [3.110(d)]

- kept at the facility where the marine mammal is housed
- available for APHIS inspection

A copy of the individual marine mammal's medical/health record must accompany the animal if it is transferred to another facility, including contract and satellite facilities. [3.110(e)]

Necropsy Reports [3.110(g)]

The preliminary necropsy report must: [3.110(g)(1)]

- be prepared by the veterinarian conducting the necropsy
- list all pathological lesions observed

The final necropsy report must include: [3.110(g)(1)]

- all gross findings
- all histopathological findings
- the results of all laboratory tests performed
- a pathological diagnosis

Necropsy reports must be: [3.110(g)(2)]

- maintained at the marine mammal's home facility
- maintained at the facility where the marine mammal died, if different than the home facility
- kept for 3 years
- available for APHIS inspection