

States That Have Adopted Reciprocal Subpoena Enforcement Laws

State	Specific Law	Description
1 Connecticut	C.G.S.A. § 36b-26(b)	The commissioner may also issue subpoenas and subpoenas duces tecum in this state at the request of another state if the activities concerning which the information is sought would constitute a basis for an investigation or proceeding under said sections had such activities occurred in this state.
2 District of Columbia	D.C. Code § 2-2641 (Investment Advisers section)	The Department may act under subsection (b) of this section or apply under subsection (c) of this section to enforce subpoenas in the District at the request of a securities agency or administrator of any state if the alleged activities constituting a violation for which the information is sought would be a violation of this chapter or any rule hereunder if the alleged activities had occurred in the District. <i>[New Law Pending*]</i>
3 Georgia	O.C.G.A. § 10-5-11(b)(2)	The commissioner may issue and apply to enforce subpoenas in this state at the request of a securities agency or administrator of another state if the activities constituting an alleged violation for which the information is sought would be a violation of this chapter if the activities had occurred in this state.
4 Illinois	815 I.L.C.S. § 5/11(D) (2)	The Secretary of State or a person designated by him or her is further empowered to administer oaths and affirmations, subpoena witnesses, take evidence, and require the production of any books and records, papers, or other documents in this State at the request of a securities agency of another state, if the activities constituting the alleged violation for which the information is sought would be in violation of Section 12 of this Act if the activities had occurred in this State.
5 Indiana	I.C. § 23-2-1-16.5	The commissioner may issue, and apply to a court to enforce, subpoenas in Indiana at the request of a securities agency or administrator of another state if the subpoena concerns an alleged violation that would be a violation of this chapter.
6 Iowa	I.C.A. § 502.603(b)	The administrator may issue and bring an action in district court to enforce subpoenas in this state at the request of a securities agency or administrator of another state, if the activity constituting an alleged violation for which the information is sought would be a violation of this chapter had the activity occurred in this state.

7	Kansas	K.S.A. § 17-1265(f)	The commissioner may issue and apply to enforce subpoenas in this state at the request of a securities agency or administrator of another state if the activities constituting an alleged violation for which the information is sought would be a violation of the Kansas securities act if the activities had occurred in this state.
8	Maine	32 M.R.S.A. 10702(h)	Issuing and enforcing subpoenas at the request of a federal or another state securities agency or the United States Commodity Futures Trading Commission, if the activities constituting an alleged violation for which the information is sought would also be a violation of this Act if the activities had taken place in this State and provided that any person against whom a subpoena may be issued shall have an opportunity for hearing before the subpoena is issued.
9	Missouri	V.A.M.S. § 409.407(g)	The commissioner may issue and apply to enforce subpoenas and subpoenas duces tecum in this state at the request of a securities agency or the administrator of another state if the activities constituting the alleged violation for which the information is sought would be a violation of sections 409.101 to 409.418 if the activities had occurred in this state.
10	Montana	M.C.A. § 30-10-304(2)(b)	The commissioner may issue and apply to enforce subpoenas in this state at the request of a securities agency or administrator of another state if the activities constituting an alleged violation for which the information is sought would be a violation of the Securities Act of Montana if the activities had occurred in this state.
11	Nebraska	Neb.Rev.Stat. § 8-1115 (3)	At the request of an administrator responsible for enforcement of the securities laws of another state, the director may issue subpoenas to compel the attendance of any person or require the production of records in this state if the alleged violation being investigated would be a violation of the Securities Act of Nebraska if the activities had occurred in this state.
12	Nevada	N.R.S. § 90.620(4)	If the activities constituting an alleged violation for which the information is sought would be a violation of this chapter had the activities occurred in this state, the administrator may issue and apply to enforce subpoenas in this state at the request of a securities agency or administrator of another state.

13	New Mexico	N.M.S.A. § 58-13B-36E	The director may issue and apply to enforce subpoenas in this state at the request of a securities agency or administrator of another state if the activities constituting an alleged violation for which the information is sought would be a violation of the New Mexico Securities Act of 1986 if the activities had occurred in this state.
14	North Carolina	N.C.G.S. § 78C-27(d)	The Administrator may act under subsection (b) of this section or apply under subsection c of this section to enforce subpoenas in this State at the request of a securities agency or administrator of any state if the alleged activities constituting a violation for which the information is sought would be a violation of this Chapter or any rule hereunder if the alleged activities had occurred in this State.
15	Ohio*	R.C. § 1707.23(l)	Issue and initiate contempt proceedings in this state regarding subpoenas and subpoenas duces tecum at the request of the securities administrator of another state, if it appears to the division that the activities for which the information is sought would violate Chapter 1707 of the Revised Code if the activities had occurred in this state.
16	Rhode Island	R.I. Gen.Laws § 7-11-601(c)(3)	The director may issue and apply to enforce subpoena in this state at the request of a securities agency or administrator of another state if the activities constituting an alleged violation for which the information is sought would be in a violation of this chapter if the activities had occurred in this state.
17	South Dakota	S.D.C.L. § 47-31A-407(a)(3)(e)	The director may issue and apply to enforce subpoenas in this state at the request of a securities agency or administrator of another state if the activities constituting an alleged violation for which the information is sought would be a violation of this chapter if the activities had occurred in this state.
18	Vermont	9 V.S.A. § 4232 (c)	If the activities constituting an alleged violation for which the information is sought would be a violation of this chapter had the activities occurred in this state, the commissioner may issue and apply to enforce subpoenas in this state at the request of a securities agency or administrator of another state.
19	Washington	R.C.W. § 21.20.380(2)	If the activities constituting an alleged violation for which the information is sought would be a violation of this chapter had the activities occurred in this state, the director may issue and apply to enforce subpoenas in this state at the request of a securities agency or administrator of another state.

*District of Columbia Proposed Section 601(e) states: "The Commissioner may issue and apply to enforce subpoenas in the District at the request of a securities agency or administrator of another state if the activities constituting an alleged violation for which the information is sought would be a violation of this act if the activities had occurred in the District."