

HACCP SYSTEM VALIDATION CHECKLIST

Validation Type:

ÿ Initial Validation – **Complete Section 3 only.**

ÿ Validation (Reassessment) due to changes made in the hazard analysis, the HACCP plan, or Prerequisite Programs/SSOPs – **Complete Sections 1-3.**

ÿ Annual Validation (Reassessment) of the HACCP plan including Hazard Analysis – **Complete Sections 1-3.**

HACCP Plan _____ Plant _____ Date _____

Validation Conducted By: _____

Topic	Yes	No	If “Yes”, Describe	Food Safety Implication	Are modifications to the HACCP plan or Hazard Analysis Required?
1. Evaluate product & process					
Product description changed?					
Formula changed?					
Ingredients / Packaging changed?					
Any new product consumption or storage methods?					
Any new suppliers?					
Process flow diagram changed?					
Equipment changed?					
Personnel changed?					
Finished Product Distribution changed?					
Production Volume changed?					
2. Evaluate product safety history					
Excessive CCP deviations?					
Any industry recalls of similar product?					
New or emerging hazards?					
Any food safety consumer complaints?					

HACCP SYSTEM VALIDATION CHECKLIST

HACCP Plan _____ Plant _____ Date _____

Validation Conducted By: _____

Topic	Yes	No	If "No", Describe	Food Safety Implication	Are modifications to the HACCP plan or Hazard Analysis Required?
3. Evaluate adequacy of CCPs, critical limits, monitoring, corrective action, CCP verification, and record keeping procedures. Review current CCP documentation. Review Prerequisite Programs and SSOPs.					
Do the CCPs control the hazards?					
Are the CCP critical limits adequate?					
Do monitoring methods and frequency identify deviations?					
Do corrective actions correct and control deviations?					
Are record keeping procedures adequate?					
Does verification activities include calibration of process monitoring instruments?					
Does verification include review of consumer complaints?					
Does verification include review of records?					
Are Prerequisite Programs and / or SSOPs identified in the hazard analysis as reducing the likelihood of hazards still effective?					