

# **Biopharmaceuticals and Drug Product Quality: Performance Tests for Drug Products, A Look Into the Future**

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# A Look Into the Future: The future is upon us!

- Increased importance of physical performance characteristics of drug delivery systems
  - Complex drug delivery systems
  - Combination systems (e.g., drug-device)
  - Nanotechnology
- The Science of Quality – a critical dimension is the ability to understand, control, and manage variability

# Performance Tests?

- Physical performance
  - Delivery to a site of action (e.g., target organs, tissues and cells)
    - Size, shape, density, (aero or hydro) dynamics, surface chemistry (e.g., charge),...
  - Residence time at the site of action or administration and biological interactions
  - Drug release mechanisms (e.g., passive or triggered)
  - Others



# Development of test methods

- Clinical relevance
  - A tool for product development and optimization
  - Establishing clinical relevance
- Quality assurance
  - Batch quality
  - Accuracy and precision
  - Reproducibility and repeatability
  - Reference standards
- Control of variability (e.g., critical quality variables)

# Future brings significant challenges

- Lessons from the past and current state?
- What can we learn from dissolution or drug release testing experience?
  - Starting with QA/QC applications
  - Looking back from a manufacturing environment when out of specifications results are observed

# OOS or Exceptions Further Increase

## Cycle Times (Source: G. K. Raju, M.I.T.

FDA Science Board Meeting, November 16, 2001)

### Pharmaceutical Manufacturing: Impact of Exceptions

*(Detailed Analysis of 2 Products)*

PERFORMANCE MEASURE	VALUE
• Average Cycle time	95 days
• Std dev(Cycle time)	> 100 days
• Exceptions increase cycle time by	> 50 %
• Exceptions increase variability by	> 100%
• Capacity Utilization of "System"	LOW

Dissolution

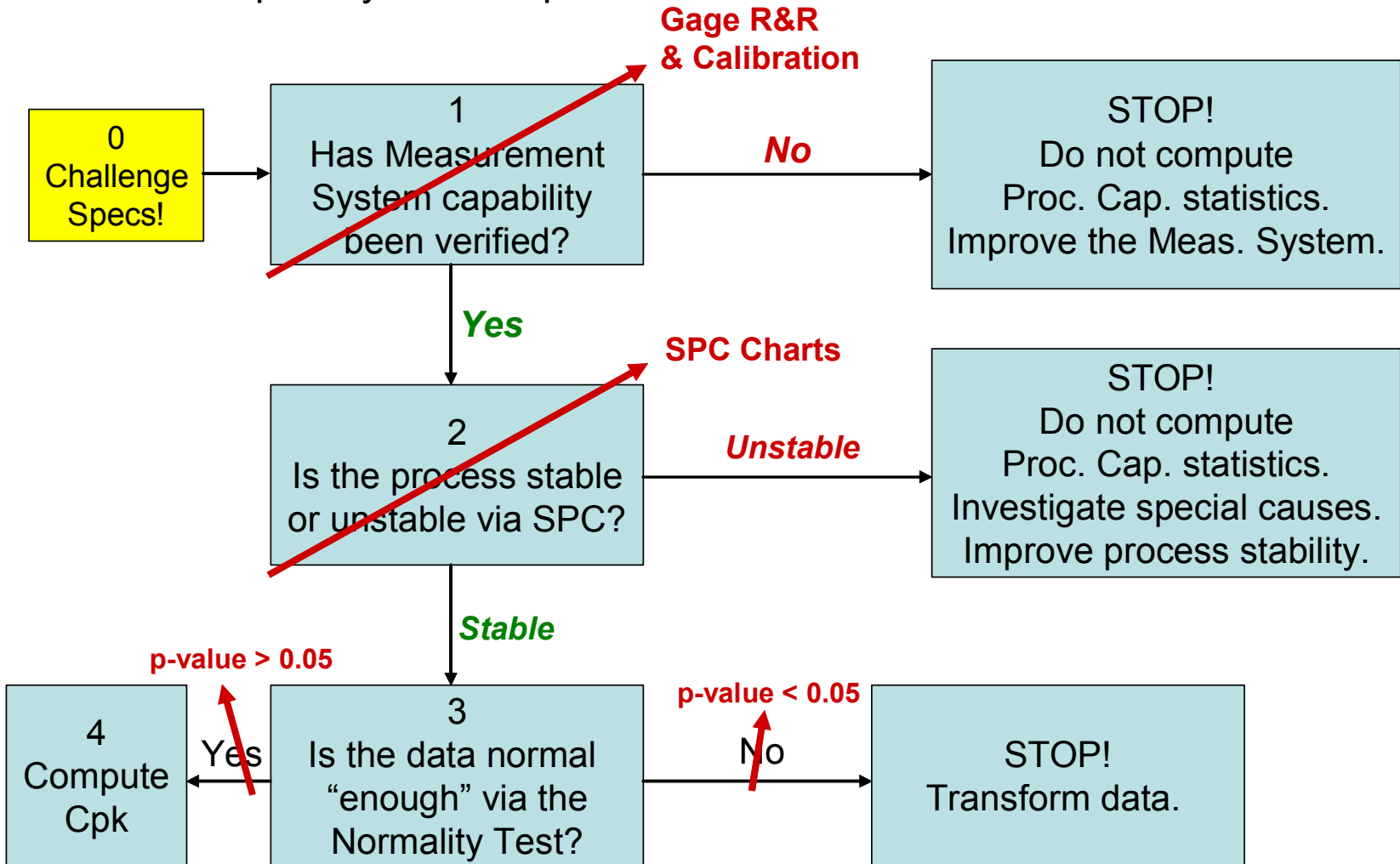
*NEED FOR FUNDAMENTAL TECHNOLOGY*



Process Capability: If you can't measure it, you can't improve it

Scott Tarpley, UK Arden House 2004

Process Capability Roadmap:

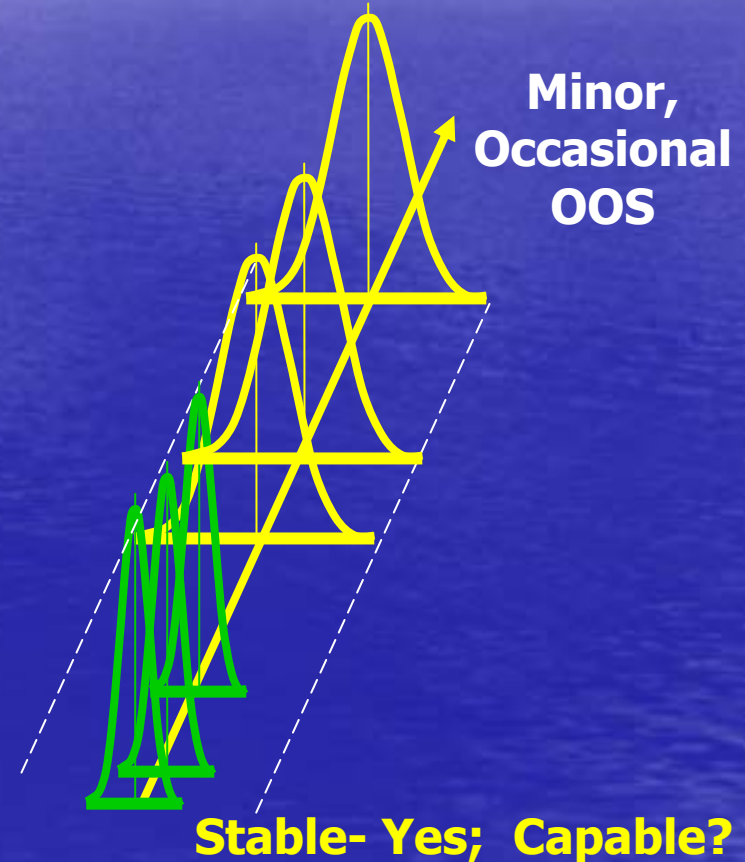
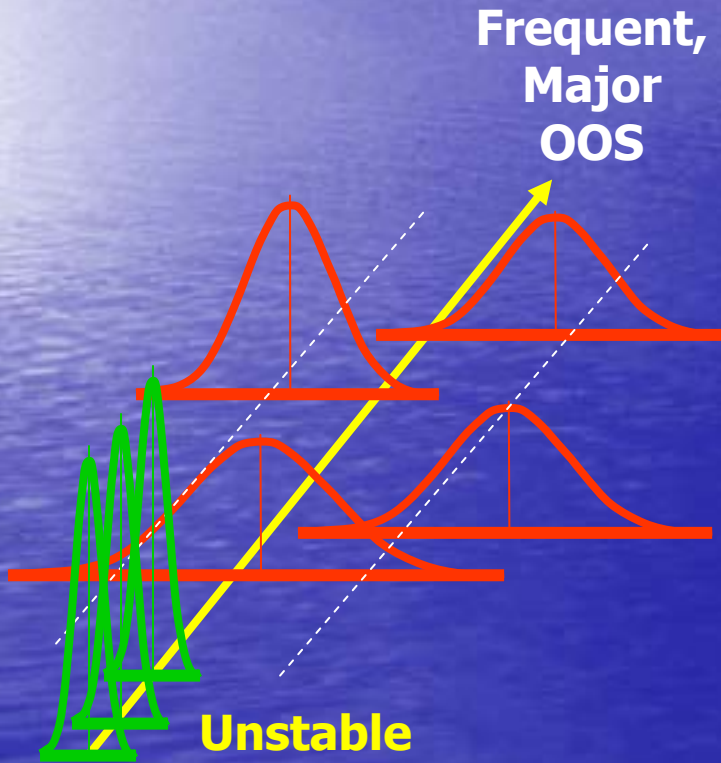




# "Special Cause" or "Common Cause"

Corrective Actions  
Eliminate "Special Cause"

Reduce "Common Cause"  
Variability





# Dissolution Experience at the FDA Division of Pharmaceutical Analysis

- Dissolution testing with USP Apparatus 1 and 2 requires diligent attention to details: mechanical and chemical
- Dosage forms can respond differently to small variations in apparatus set up or degassing
- Large differences in dissolution results are possible unless all parameters are carefully controlled
- Differences in reproducibility can often be traced to improper mechanical calibration and/or degassing

*Cindy Buhse*

*Director, Division of Pharmaceutical Analysis  
FDA/CDER/OPS/OTR*

# Process Capability and Measurement Capability: Dissolution Test

- When we evaluate process capability by measuring variability in the product produced
- Total variability  $\sigma^2_{\text{Total}}$ 
  - Assuming independent variable (if not independent for example interaction between measurement and product a covariance term needs to be included)
  - $\sigma^2_{\text{Total}} = \sigma^2_{\text{Product}} + \sigma^2_{\text{Measurement}}$
  - $\sigma^2_{\text{Measurement}} = \sigma^2_{\text{Repeatability}} + \sigma^2_{\text{Reproducibility}}$

# In an OOS Situation – the question is what went wrong?

- Repeatability – inherent precision of the test procedure (did this change?)
- Reproducibility – different operator, different time period, different environment,... (is this a problem?)
- Destructive sample – what should we use to evaluate repeatability and reproducibility?
  - A USP Dissolution Calibrator Tablet?
  - Tablets from clinical batch?
    - Statistical approaches are available for ensuring appropriate sample of reference
  - Difficult questions; a need exists for further discussion on this topic



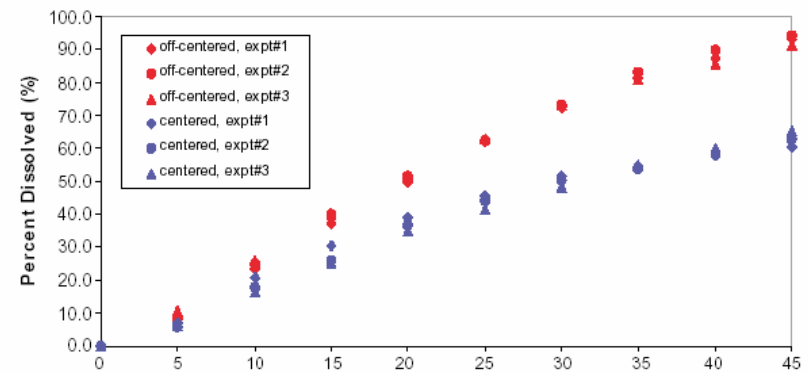
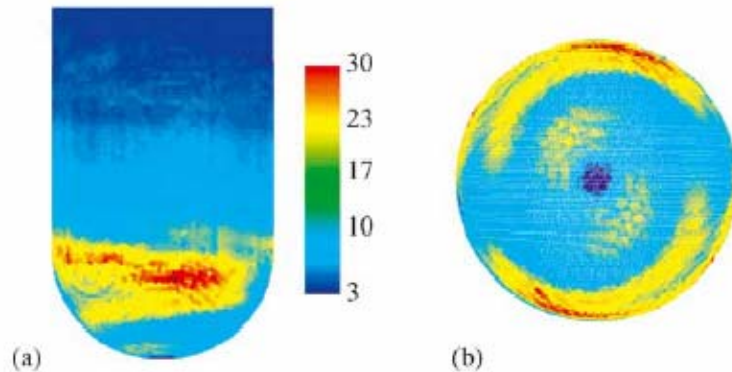
# Difficult questions faced by Manufacturing Groups and Regulators...

- If we chose to use a calibrator tablet for a Gauge R&R study....
- $\sigma^2_{\text{(Total for Calib.)}}$ 
  - $= \sigma^2_{\text{(Calib.)}} + \sigma^2_{\text{C*Measurement}}$
  - What is the measurement for the Calibrator and what is its variability?  $\sigma^2_{\text{(C*Measurement)}}$
  - Since  $\sigma^2_{\text{(Calib.)}}$  is not known; we have to use  $\sigma^2_{\text{(Total for Calib.)}}$
- $\sigma^2_{\text{Total for Product}} = \sigma^2_{\text{Product}} + \sigma^2_{\text{Total for Calib.}}$



# Difficult questions faced by Manufacturing Groups and Regulators...

- Assumption of independent variable?
- Another aspect – is the measurement capability for a Calibrator tablet representative of the drug product? What if there are differences such as disintegration mechanism and buoyancy between the Calibrator and the drug product?



# Options for reducing $\sigma^2_{\text{Product}}$ ?

- Given that there is an OOS situation, how can we reduce variability?
  - Reduce  $\sigma^2_{\text{Total}}$  for Calib.
    - Since acceptance limits for dissolution calibrator tablets are wide and improper mechanical calibration may not be detected
    - Modify set-up procedures (e.g., “degassing” protocol) or use “Sinkers” - How should these steps be justified?
    - If these steps do not do the job – then “it is what it is”
- By the time this is resolved several lots would probably have been rejected

# Options for reducing $\sigma^2_{\text{Product}}$ ?

- Reduce  $\sigma^2_{\text{Product}}$ 
  - Often during development the same or similar dissolution test method is used to generate the average “response” dissolution profiles for identifying and optimizing formulation and process conditions
    - Are any relevant information on “variability” available in the development reports?
- Caution: Observed variability in the production setting can be “common cause” variability and attempts to alter processing parameters without good information can create a bigger problem



# Difficult questions faced by Manufacturing Groups and Regulators...

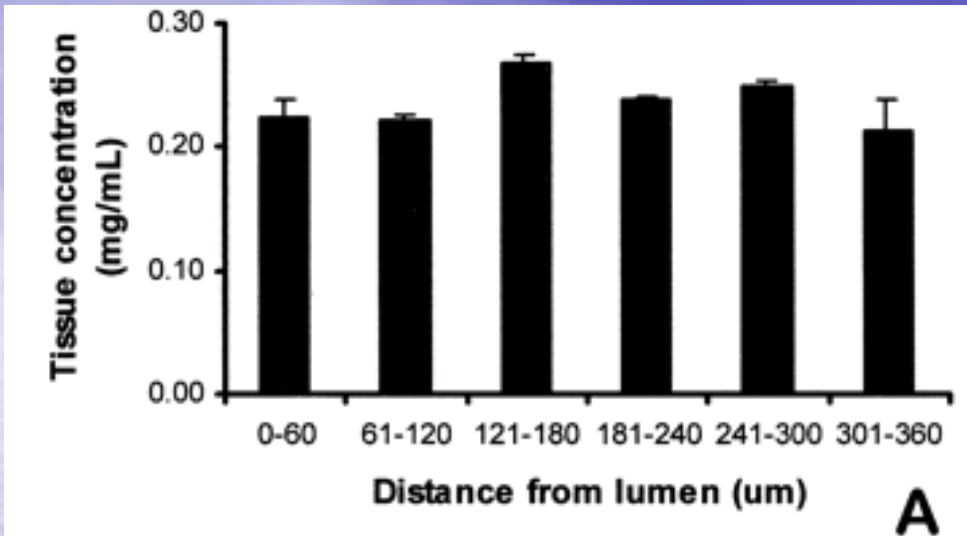
- What is the capability of the manufacturing process used to make calibrator tablets?
- Can a company document improvement in a manufacturing process capability beyond that of the process used to manufacture the calibrated tablet?
  - How?



# Characteristics of Stent-Based drug delivery (Circulation. 2001; 104:600-605)

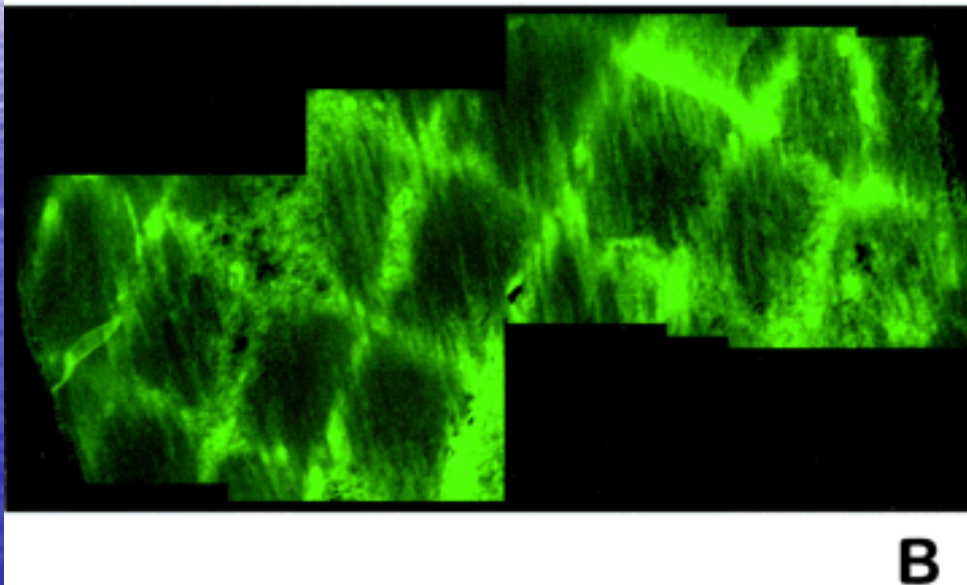
- Tissue concentration variability
  - when we use conventional approaches (bulk elution) to simulate uniformity of drug targeting it yield a flat radial drug concentration profile (Figure A in the following slide)
  - A more detailed evaluation (using quantitative fluorescence microscopy) provides a dramatic spatial heterogeneity in tissue concentrations (see Figure B in the following slide)
  - Microscopic imaging of arteries reveals zones of high an low concentrations that identically followed stent geometry

(Circulation. 2001; 104:600-605)



[A] Concentration profile obtained by bulk elution of serial en face sections.

**Microscopic imaging of arteries reveals zones of high and low concentrations that identically followed stent geometry**



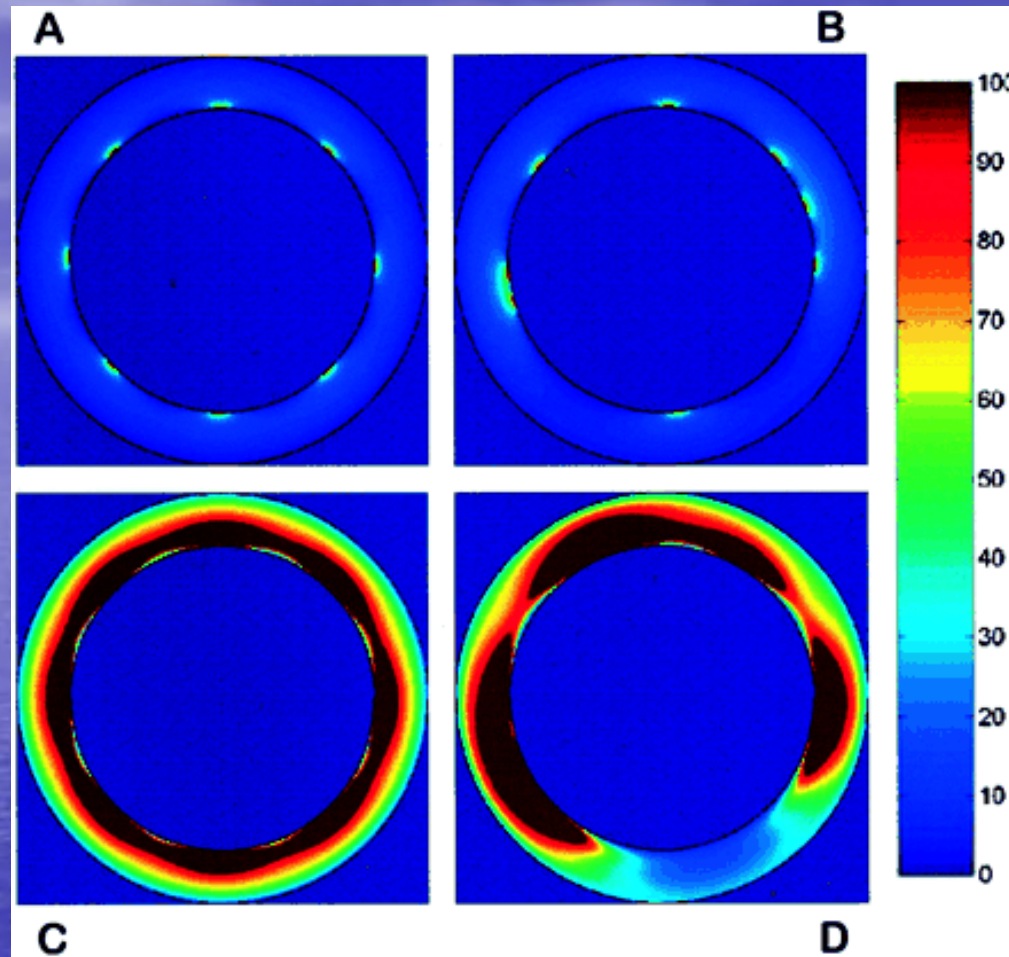
[B] En face image of fluorescein distribution at 200 um from luminal surface of bovine carotid artery

# Models of Transport

## (Circulation. 2001; 104:600-605)

- Considerable variations of tissue drug concentration are present after stent delivery for both hydrophilic and hydrophobic drugs (see figure on the next slide)
- Large areas of high and low drug concentration exists simultaneously at steady state
- Both circumferential and radial concentration gradients are greatest near the struts and decay rapidly away before increasing again near the perivascular space
- Although hydrophobic drugs manifest similar variation pattern to hydrophilic drug, they nevertheless distribute better





Large concentration variations resulting from stent-based drug delivery in a simulation modeling balanced convective and diffusive forces from 8-strut stents with homogeneous (A, hydrophilic; C, hydrophobic) and inhomogeneous (B, hydrophilic; D, hydrophobic) strut distributions. Scales are in  $\%C_{sd}$ . (Circulation. 2001; 104:600-605)



# In Vitro Elution?

- Traditional drug release testing may not relate to local tissue partitioning of the drug from the stent
- Relevance of traditional pharmacokinetics approaches for establish IVIVC also needs to be examined; especially for hydrophobic drugs

# Stent-based drug delivery & In Vitro Elution Test Methods?

- Need to approach this challenge from the perspective of “product/process design” and “mechanism of drug release”
  - Focus on “control” of critical variables
  - More effective use of engineering process design and control
  - New tools (for example chemical imaging) to focus on critical variables that relate to performance
- Better integration of product development with pre-clinical and clinical evaluation
  - Establishing biological relevance of product and process design and improving ability to predict performance
  - Quality by design

# Future is upon us ...

- Challenges, especially in the domain of physical performance are very significant
- We need to learn from our past experience and reevaluate assumptions we take for granted
- Developing “general” test methods for physical performance may not be the most productive path
- A more fundamental approach that utilizes quality by design principles is the way forward