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# Guidance for Industry

## Pharmacokinetics in Pregnancy — Study Design, Data Analysis, and Impact on Dosing and Labeling

### *DRAFT GUIDANCE*

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**U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)**

**October 2004  
Clinical Pharmacology**

# **Guidance for Industry**

## **Pharmacokinetics in Pregnancy — Study Design, Data Analysis, and Impact on Dosing and Labeling**

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**U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)**

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***Contains Nonbinding Recommendations***

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**Guidance for Industry<sup>1</sup>  
Pharmacokinetics in Pregnancy —  
Study Design, Data Analysis, and  
Impact on Dosing and Labeling**

This draft guidance, when finalized, will represent the Food and Drug Administration’s (FDA’s) current thinking on this topic. It does not create or confer any rights for or on any person and does not operate to bind FDA or the public. You can use an alternative approach if the approach satisfies the requirements of the applicable statutes and regulations. If you want to discuss an alternative approach, contact the FDA staff responsible for implementing this guidance. If you cannot identify the appropriate FDA staff, call the appropriate number listed on the title page of this guidance.

**I. INTRODUCTION**

This guidance describes a basic framework for designing and conducting PK/PD studies in pregnant women. It provides recommendations to sponsors on how to assess the influence of pregnancy on the pharmacokinetics (PK), and where appropriate, the pharmacodynamics (PD) of drugs or biologic products.<sup>2</sup> Additionally, this guidance provides recommendations to primary investigators, clinical researchers, and clinical pharmacologists about issues to consider when designing and conducting PK studies in pregnant women.

The Agency recommends using this guidance in conjunction with other FDA and ICH guidances, and pharmacological and clinical literature, on the design, conduct, and interpretation of pharmacokinetic studies. Because the conduct of studies in pregnant women requires specialized knowledge in a variety of areas, investigators designing such studies are encouraged to obtain advice from experts in fields such as obstetrics, pediatrics, pharmacology, clinical pharmacology, pharmacometrics, statistics, and other applicable disciplines. Although this guidance provides recommendations on when PK studies in pregnant women are appropriate, it does not address ways to assess efficacy of a drug in pregnancy or how to assess whether the drug causes adverse pregnancy or neonatal outcomes.

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<sup>1</sup> This guidance has been prepared by the PK in Pregnancy Working Group of the Pregnancy Labeling Task Force, Center for Drug Evaluation and Research (CDER) at the Food and Drug Administration.

<sup>2</sup> Throughout this document, the term *medical product* or *drug* means drug and biological products, including vaccines.

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38 FDA's guidance documents, including this guidance, do not establish legally enforceable  
39 responsibilities. Instead, guidances describe the Agency's current thinking on a topic and should  
40 be viewed only as recommendations, unless specific regulatory or statutory requirements are  
41 cited. The use of the word *should* in Agency guidances means that something is suggested or  
42 recommended, but not required.

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44

### **II. BACKGROUND**

46

47 Ideally, pharmacologic agents would not be needed during pregnancy; however, some women  
48 enter pregnancy with medical conditions that require ongoing or episodic treatment (e.g., asthma,  
49 epilepsy, hypertension). During pregnancy, new medical problems can also develop, and old  
50 ones can be exacerbated (e.g., migraine headaches), requiring pharmacologic therapy. Studies  
51 have shown that most pregnant women do use either prescribed or over-the-counter medications  
52 during pregnancy (Bonati 1990, De Vigan 1999, Lacroix 2000, Mitchell 2001). Interviews of  
53 approximately 20,000 U.S. and Canadian women conducted over 25 years reported a mean of 2.3  
54 medications used during pregnancy, excluding vitamins and minerals (Mitchell 2001). Of the  
55 women interviewed, 28 percent reported using more than four medications during pregnancy,  
56 and medication use increased with maternal age. In addition, the mean number of medications  
57 taken, in successive 5-year intervals, progressively increased from 2.7 to 4.4, indicating secular  
58 patterns of medication use by pregnant women. A comparison of therapeutic drug use during  
59 pregnancy in Europe showed that 64 percent of women used at least one drug during pregnancy  
60 (De Vigan 1999), while in France, pregnant women were prescribed an average of five drugs  
61 during the first trimester (Lacroix 2000).

62

63 Generally, the safety and efficacy of a drug are established for a particular dosage regimen or  
64 range of dosage regimens in late phase (Phase 3) clinical trials involving relatively typical  
65 representatives from the target patient population. Pregnant women are actively excluded from  
66 these trials, and, if pregnancy does occur, the usual procedure is to discontinue treatment and  
67 drop the patient from the study. Consequently, at the time of a drug's initial marketing, except  
68 for products developed to treat conditions specific to pregnancy (e.g., oxytocics, cervical  
69 ripening agents), there are seldom human data on the appropriate dosage and frequency of  
70 administration during pregnancy. Even after years of marketing, data in product labels regarding  
71 PK and dose adjustments during pregnancy rarely provide more information for appropriate  
72 prescribing in pregnancy than was available at the time of initial marketing.

73

74 The few data to address appropriate dosage and frequency of administration in pregnancy are not  
75 usually supported by a full understanding of the alterations of the PK of the drug in pregnancy.  
76 For example, the majority of published PK studies of anti-infective drug products during  
77 pregnancy were conducted at the time of abortion or delivery (usually via cesarean section) and  
78 were done to determine the transplacental passage of drug. In the absence of data, the usual  
79 adult dose is typically prescribed for pregnant women. Because of the physiologic changes  
80 inherent in pregnancy, the result can be substantial under dosing, or, in some cases, excessive  
81 dosing.

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83 Extrapolation of PK data from studies performed in nonpregnant adults fails to take into account  
84 the impact of the many physiologic changes that occur during pregnancy. Most of the  
85 physiologic changes manifest during the first trimester and peak during the second trimester of  
86 pregnancy. Physiologic changes are not fixed throughout pregnancy but rather reflect a  
87 continuum of change as pregnancy progresses, with return to baseline at various rates in the  
88 postpartum period. The physiologic changes have the potential to alter the PK and/or PD of  
89 drugs. Some of these changes include:

- 90
- 91 • Changes in total body weight and body fat composition.
- 92 • Delayed gastric emptying and prolonged gastrointestinal transit time.
- 93 • Increase in extra cellular fluid and total body water.
- 94 • Increased cardiac output, increased stroke volume, and elevated maternal heart rate.
- 95 • Decreased albumin concentration with reduced protein binding.
- 96 • Increased blood flow to the various organs (e.g., kidneys, uterus).
- 97 • Increased glomerular filtration rate.
- 98 • Changed hepatic enzyme activity, including phase I CYP450 metabolic pathways (e.g.,  
99 increased CYP2D6 activity), xanthine oxidase, and phase II metabolic pathways (e.g., N-  
100 acetyltransferase).
- 101

102 A significant amount of pharmacologic research has been conducted to improve the quality and  
103 quantity of data available for other altered physiologic states (e.g., in patients with renal and  
104 hepatic disease) and for other patient subpopulations (e.g., pediatric patients).<sup>3</sup> The need for  
105 PK/PD studies in pregnancy is no less than for these populations, nor is the need for the  
106 development of therapeutic treatments for pregnant women.

### **III. DECIDING WHETHER TO CONDUCT A PHARMACOKINETIC STUDY IN PREGNANT WOMEN**

112 Ethical issues are important when considering studying drugs in pregnant women. Given the  
113 large number of pregnant women who need prescription medicines to maintain their health, some  
114 have argued that it is unethical *not* to obtain dosing information in this subpopulation (Faden  
115 2000). Others recommend that only pregnant women who need a drug for therapeutic reasons be  
116 included in clinical studies, citing that drug studies cannot be done in “normal pregnant  
117 volunteers” (Stika 2001).

---

<sup>3</sup> Guidance for Industry *Pharmacokinetics in Patients with Impaired Renal Function*,  
<http://www.fda.gov/cder/guidance/1449fnl.pdf>, issued May 1998. Guidance for Industry *Pharmacokinetics in Patients with Impaired Hepatic Function: Study Design, Data Analysis, and Impact on Dosing and Labeling*,  
<http://www.fda.gov/cder/guidance/3625fnl.pdf>, issued May 2003. Draft Guidance for Industry *General Considerations for Pediatric Pharmacokinetic Studies for Drugs and Biological Products*,  
<http://www.fda.gov/cder/guidance/1970dft.pdf>, issued November 1998. Draft guidances, when finalized, will represent the Agency’s current thinking on these issues.

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119 All studies in pregnant women must conform to all applicable regulations, including human  
120 subject protection.<sup>4</sup> The Agency recommends that all studies in pregnant women have  
121 Institutional Review Board (IRB) review and informed consent for all study participants.  
122

123 Pregnant women may be involved in PK studies if the following conditions are met (45 CFR  
124 Subpart B 46.204):  
125

- 126 • Preclinical studies, including studies on pregnant animals, and clinical studies, including  
127 studies on nonpregnant women, have been conducted and provide data for assessing  
128 potential risk to pregnant women and fetuses; and
- 129 • The risk to the fetus is not greater than minimal and the purpose of the research is the  
130 development of important biomedical knowledge which cannot be obtained by any other  
131 means.  
132

133 The definition of minimal risk is broad. The fetal risk is considered minimal when the estimated  
134 risk to the fetus is no more than that from established procedures routinely used in an  
135 uncomplicated pregnancy or in a pregnancy with complications comparable to those being  
136 studied.<sup>5</sup> Although PK studies in pregnancy can be considered in Phase 3 development programs  
137 depending on anticipated use in pregnancy and the results of reproductive toxicity studies, the  
138 FDA anticipates that most PK studies in pregnant women will occur in the postmarketing period  
139 and will be conducted using pregnant women who have already been prescribed the drug as  
140 therapy by their own physician. An example of a minimal risk study would be one to determine  
141 PK/PD of an antihypertensive medication in pregnant women who are taking that medication to  
142 treat hypertension during pregnancy. The decision to use the antihypertensive medication is  
143 made by the patient and her physician independent of participation in the PK/PD study.  
144

145 Information on human pregnancy experiences and exposures will emerge during the  
146 postmarketing phase for virtually all drug products. Sponsors are requested to explicitly address  
147 positive or negative experiences during pregnancy or lactation as one of the safety issues in the  
148 Overall Safety Evaluation section of the Periodic Safety Update Report.<sup>6</sup> This source of  
149 information is valuable in determining whether to conduct PK studies in pregnant women. Other  
150 important sources of information include publications concerning safety (e.g., reports that  
151 describe the use of the drug in pregnancy) or efficacy in pregnancy and information from  
152 medical specialty groups. These types of postmarketing exposure and safety data on drug  
153 products provide the basis for determining the need for PK assessment of a drug in pregnant  
154 women.  
155

156 This guidance recommends that PK studies be conducted in pregnant women in any of the  
157 following situations:

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<sup>4</sup> 45 CFR 46, Protection of Human Subjects, <http://ohrp.osophs.dhhs.gov/humansubjects/guidance/45cfr46.htm>.

<sup>5</sup> Office of Human Research Protections, <http://ohrp.osophs.dhhs.gov>

<sup>6</sup> Guidance for Industry *E2C Clinical Safety Data Management: Periodic Safety Update Reports for Marketed Drugs*, <http://www.fda.gov/cder/guidance/1351fnl.pdf>, issued May 1997.

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- The drug is known to be prescribed in or used by pregnant women, especially in the second and third trimesters.
  - For a new drug or indication, if there is anticipated or actual use of the drug in pregnancy.
  - Use is expected to be rare, but the consequences of uninformed dosages are great (e.g., narrow therapeutic range drugs, cancer chemotherapy). Drugs of this type can normally be studied in pregnant patients.
  - Pregnancy is likely to alter significantly the PK of a drug (e.g., renally excreted drug) and any of the above apply.

168 PK studies in pregnant women are not recommended if the drug is not used in pregnant women  
169 or the drug has known or highly suspect fetal risk.

170  
171 For approved products, consider whether a study in pregnant women must be conducted under  
172 the investigational new drug (IND) regulations (21 CFR 312.2). If there is a concern for  
173 significantly increasing the risk (or decreasing the acceptability of the risks) in a patient  
174 population (i.e., the mother or fetus), an IND would be needed (21 CFR 312.2(b)(iii)). Also,  
175 according to the IND regulations, if a different route of administration or dosage level is used, an  
176 IND would be needed.

### 177 178 179 **IV. STUDY DESIGN**

180  
181 Study design considerations are important when conducting a study in pregnant women to  
182 determine if the PK and/or PD are altered enough to require an adjustment from the established  
183 dosage. Ideally, PK studies in pregnancy would be done pre-pregnancy (for baseline  
184 comparison) and during all three trimesters, especially for chronically administered drugs. Given  
185 the constraints of a study design that enrolls women prior to pregnancy, an alternative can be to  
186 determine PK/PD in the second and third trimesters, with the baseline assessment for comparison  
187 to the pregnant state done in the postpartum period. The Agency recommends care be taken to  
188 select the most appropriate postpartum time for PK/PD determination, if known. Cardiovascular  
189 and renal changes do not return to the pre-pregnancy state until 3 months postpartum. Optimally,  
190 postpartum PK/PD assessments for comparative purposes to PK/PD in pregnancy would be done  
191 when the woman is neither pregnant nor lactating.

192  
193 The PK and/or PD study can also be nested within a larger clinical study on safety, efficacy, and  
194 outcomes of interest (e.g., Prevost et al. performed a study on the PK of nifedipine on a small  
195 subset of patients who were participating in a larger clinical study to assess treatment for  
196 pregnancy-induced hypertension (Prevost 1992)).

#### 197 198 **A. Longitudinal Design**

199  
200 For drugs that are administered chronically or given for several treatment cycles during  
201 pregnancy, a longitudinal study design is most clinically meaningful. This allows for intensive  
202 PK studies in pregnant women conducted serially so that each woman serves as her own control,



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203 avoiding the common criticism that PK/PD studies in pregnant women are flawed because of the  
204 comparison group employed (Reynolds 1991; Little 1999). Such a study would focus on  
205 comparing each pregnant woman enrolled at one trimester of pregnancy to the same patient at a  
206 different trimester as well as during the postpartum period. We recommend that the rationale for  
207 which trimesters are chosen be stated clearly in the study protocol. This longitudinal design  
208 minimizes interindividual variability across gestational ages; however, intraindividual variability  
209 would be taken into account when determining the sample size. It is important that the analytical  
210 plan take into consideration the repeat measures characteristics of a longitudinal design.

211

212 Because physiologic changes are continuous throughout pregnancy, and abrupt changes do not  
213 necessarily coincide with each trimester shift, the Agency recommends that investigators  
214 consider narrowing the time of sampling from trimester to a *window* of time during each  
215 trimester. For example, 4-week *windows* can be selected for second trimester (e.g., 24-28  
216 weeks) and third trimester assessments (e.g., 34-38 weeks).

217

218 The Agency recommends that each woman serve as her own control and have PK/PD  
219 determinations performed at different trimesters and in the postpartum period. For certain drugs  
220 that are given acutely (e.g., single dose or short course of therapy) it can be difficult to  
221 implement a longitudinal design using the same subjects throughout and after pregnancy. For  
222 example, in certain circumstances drug therapy may no longer be medically essential in the  
223 postpartum period. In these situations, a multi-arm study can be designed to compare different  
224 pregnant subjects at different trimesters and in the postpartum period.

225

### **B. Population PK Design**

226

227 A population PK approach with nonlinear mixed effects modeling techniques can be used as an  
228 alternate way to enroll pregnant women in PK studies and minimize the number of blood draws  
229 and PD assessments. The population PK approach can assess the impact on the PK of a drug on  
230 various covariates, such as maternal characteristics (e.g., age, gravity, parity, race, weeks or  
231 trimester of gestation), concomitant medications, and underlying medical conditions. For  
232 example, a measure of pregnancy status such as weeks gestation can be one of the covariates,  
233 making it possible to model the relationship between gestational age of pregnancy and PK  
234 parameters such as the apparent clearance of the drug (CL/F).

235

236 In principle, a population PK study design and analysis might detect PK differences large enough  
237 to warrant dosage adjustment if the study has enough pregnant and nonpregnant women enrolled  
238 with sufficient representation of second and third trimesters (with a continuum of gestational  
239 ages from 13 to 40 weeks). Typically, each patient is only sparsely sampled to obtain plasma  
240 drug concentration data and/or PD data. Due to the intrinsic characteristics of a population PK  
241 study, the controls for this study design can differ from other study designs and can potentially  
242 include matched healthy nonpregnant female volunteers. To ensure the ability to determine the  
243 inter-occasion variability and prevent a parallel group trial design, a cohort of study subjects  
244 would have data collected from all trimesters and the postpartum period. Considering the  
245 number of subjects in the study and the key objective of the study, efforts can be made to reduce  
246 the number of influential covariates such as concomitant medication.

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248  
249 Some investigators have proposed conducting a population PK study as a preliminary step and to  
250 subsequently conduct a standard intensive PK/PD study if the population PK study suggests  
251 changes between the pregnant and nonpregnant women (Stika 2000). For further information  
252 about the population PK approach, see the Guidance for Industry *Population Pharmacokinetics*.<sup>7</sup>  
253

254

### **V. OTHER DESIGN CONSIDERATIONS**

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#### **A. Study Participants**

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259 Study participants should be representative of a typical patient population for the drug to be  
260 studied including race, ethnicity, and trimester of pregnancy. Factors with significant potential  
261 to affect the PK of a drug to be studied (e.g., age, weight, diet, smoking, concomitant  
262 medications, ethnicity, renal function, other medical conditions) can be considered depending on  
263 the pharmacologic properties of the drug. The FDA recommends that uniform diagnostic  
264 measures be applied to all pregnant women to ensure similarity of diagnosis for the treatment  
265 being given and to reduce disease-specific variability in PK. The FDA recommends that  
266 measures used for dating the pregnancy be stated clearly in the study protocol and consistently  
267 applied throughout the study. Inclusion and exclusion criteria can be tailored to the study.  
268

269

269 For drugs that are metabolized by enzymes known to exhibit genetic polymorphism (e.g.,  
270 CYP2D6 or CYP2C19), the FDA recommends that the investigator consider the metabolic status  
271 of the enrolled subjects when analyzing the results of the study. Genotype has been shown to  
272 have an effect on pregnancy-related changes in metabolism (Wadelius 1997).  
273

274

#### **B. Postpartum Assessments**

275

276 Physiology changes rapidly at delivery but can take from weeks to months to return to the pre-  
277 pregnancy state. The Agency recommends that drugs used only during the peripartum period  
278 (e.g., labor and delivery) be studied only at that time. In the peripartum period, PK and receptor  
279 sensitivity related to PD can change, so PK/PD studies for drugs used in the peripartum period  
280 are important.

281

282 A woman's own postpartum PK/PD assessments can serve as a control or comparator for the  
283 pregnant state. For women to whom drugs are administered chronically and for whom a  
284 pregnancy on the medication of interest is planned, the pre-pregnancy PK/PD assessment can  
285 serve as the comparison. For drugs used throughout pregnancy and the postpartum period, PK  
286 studies can be performed during the postpartum period to serve as the comparator or control  
287 group. Postpartum assessments can potentially be done longitudinally (e.g., at 2, 4, 6, and 8  
288 weeks postpartum) to determine the time course for PK changes to return to the nonpregnant  
289 state. Some pregnancy-related medical conditions rapidly improve after delivery such that

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<sup>7</sup> Guidance for Industry *Population Pharmacokinetics*, <http://www.fda.gov/cder/guidance/1852fn1.pdf>, issued February 1999.

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290 pharmacologic therapy is no longer needed in the postpartum period (e.g., some cases of  
291 pregnancy-induced hypertension or gestational diabetes). In this scenario women can participate  
292 in a single-dose PK/PD study in the postpartum period. If a drug possesses linear kinetics, the  
293 single-dose PK data can be extrapolated to the multiple-dose steady state kinetics and then  
294 compared with steady state kinetics obtained during pregnancy when the drug was administered  
295 chronically.

296  
297 If subjects are breast-feeding during the postpartum portion of the study, the FDA recommends  
298 that the study incorporate appropriate safety precautions concerning drug excretion into breast  
299 milk and the effects of the drug on the breast-fed infant. The study design should take into  
300 account data concerning the pediatric pharmacology and adverse effects of the drug. A lactation  
301 study might be performed in conjunction with postpartum sampling.

### **C. Sample Size**

302  
303  
304 The objective and design of a study are determining factors in deciding adequate sample size.  
305 The number of subjects enrolled in a study should be sufficient to detect PK differences large  
306 enough to warrant dosage adjustments. Sample size considerations include PK and PD  
307 variability for the drug being studied, the study design (i.e., single-dose versus multiple-dose),  
308 and the physiologic changes inherent in pregnancy. For a population PK approach,<sup>8</sup> sparse  
309 sampling with a larger number of subjects that span the gestational time periods of interest is  
310 encouraged.

311  
312  
313 As a practical matter, it is prudent that the final number of subjects enrolled be in excess of that  
314 originally determined by standard sample size calculations to take into account withdrawal of  
315 subjects from the study. Even if data for a subject are missing for one trimester, the Agency  
316 suggests that the subject be retained in the study for the postpartum assessments.

### **D. Drug Administration**

317  
318  
319 In single-dose studies, the same dose can usually be administered to all women in the study.  
320 Lower or less frequent doses can be considered to minimize fetal risk in pregnant women who  
321 volunteer to take the medication for study purposes, even if it is expected to pose minimal risk at  
322 standard doses. The dosage regimen can be adjusted based on the best available pre-study  
323 estimates of the PK of the drug and its active metabolites and what is known about drug  
324 elimination. A concentration-controlled study design or a dosage adjustment based on the  
325 patient's response are alternative methods to consider. For example, the study might be  
326 conducted to achieve a specific target concentration using therapeutic drug monitoring  
327 procedures. When studying pregnant patients who need the study drug, the dose can be  
328 modified, either increased or decreased as pregnancy progresses, to achieve the appropriate  
329 response (e.g., lowering of blood pressure, or to decrease adverse events such as hypotensive  
330 episodes with antihypertensive therapy).

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<sup>8</sup> Guidance for Industry *Population Pharmacokinetics*, <http://www.fda.gov/cder/guidance/1852fn1.pdf>, issued February 1999.

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### **E. Sample Collection and Analysis**

The Agency recommends that plasma or whole blood samples and urine samples be analyzed for the parent drug and any metabolites with known or suspected activity, therapeutic or adverse. It is recommended that the frequency and duration of plasma sampling and urine collection be sufficient to estimate accurately the relevant PK parameters for the parent drug and its active metabolites (see Section VI, Data Analysis).

Plasma protein binding, like renal function, is often altered in pregnancy.<sup>9</sup> For example, albumin and alpha-1-acid glycoprotein levels are reduced in pregnancy, consequently the protein binding of drugs can be affected. With systemically active drugs and metabolites, the unbound concentrations are generally believed to determine the rate and extent of delivery to the sites of action. For drugs and metabolites with a relatively low extent of plasma protein binding (e.g., the extent of binding is less than 80 percent), alterations in binding due to pregnancy are small in relative terms. In such cases, description and analysis of the PK in terms of total concentrations would be sufficient. For drugs where the extent of protein binding is greater than 80 percent, primarily to albumin, it is recommended that the PK be described and analyzed with respect to the unbound concentrations of the drug and active metabolites. Although unbound concentrations should be measured in each plasma sample, if the binding is concentration-independent and unaffected by metabolites or other time-varying factors, the fraction unbound can be determined using a limited number of samples or even a single sample from each patient during each trimester. The unbound concentration in each sample should then be estimated by multiplying the total concentration by the fraction unbound for the individual patient.

### **F. Studies with No Intended Therapeutic Benefit**

It is possible to study drugs that have no intended direct therapeutic benefit to the pregnant woman provided that the risk to the fetus is minimal (45 CFR 46). For example, probe substrates can be used to investigate drug metabolism (e.g., cytochrome P-450 activity) or drug transporter status (e.g., p-glycoprotein). Data from these studies offer generalizable information to other pregnant women but do not offer direct therapeutic benefit to study participants. The Agency encourages sponsors or investigators to explore additional safeguards for human subject protection for this type of study. To minimize exposure to a nontherapeutic drug, each pregnant woman can be exposed to the drug once during pregnancy and in the postpartum period employing a nonlongitudinal design (e.g., one cohort of women sampled in second trimester and postpartum and another cohort of women sampled in third trimester and postpartum). Examples of additional safeguards include administering only products with a long or known record of safety in pregnancy, administering products using only a single dose of the drug, using lower doses of the drug, decreasing the number of drugs (probe substrates) used in any study subject, and limiting study participants to pregnant women only in second or third trimester.

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<sup>9</sup> Guidance for Industry *Pharmacokinetics in Patients with Impaired Renal Function*, <http://www.fda.gov/cder/guidance/1449fnl.pdf>, issued May 1998.

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### 374 **G. Pharmacodynamic Assessments**

375  
376 PK studies are usually enhanced by including PD assessments as part of the study. The Agency  
377 encourages sponsors to discuss the selection of the PD endpoints with the appropriate FDA  
378 review staff. Endpoints would be based on the pharmacological characteristics of the drug and  
379 metabolites (e.g., the behavior of other drugs in the same pharmacological class), and include  
380 consideration of relevant biomarkers.<sup>10</sup> Fetal PD endpoints can warrant study as well (e.g., fetal  
381 heart rate and rhythm response to maternal administration of an antiarrhythmic drug).  
382  
383

### 384 **VI. DATA ANALYSIS**

385  
386 The primary intent of the data analysis is to assess whether dosage adjustment is needed for  
387 pregnant patients, and, if so, to develop dosing recommendations for such patients based on  
388 gestational age or trimester. The analysis, specifically modeling and dosing recommendations,  
389 will depend on the study design characteristics. The categorization of pregnancy status, either as  
390 nominal (e.g., trimester) or continuous (e.g., week of gestation) data will direct the type of  
391 analysis performed. The Agency encourages giving special analytical considerations to  
392 longitudinal study designs and the baseline (e.g., postpartum) comparisons. The data analysis  
393 typically consists of the following steps:  
394

- 395 • Estimation of PK parameters
- 396 • Development of dosing recommendations

#### 397 **A. Parameter Estimation**

398  
399  
400 The Agency recommends that total and unbound plasma concentration data (and urinary  
401 excretion data if collected) be used to estimate PK parameters of the parent drug and  
402 metabolite(s). Standard PK parameters of a drug include the area under the plasma concentration  
403 curve (AUC), peak concentration ( $C_{max}$ ), plasma clearance ( $CL_T$ ) or apparent oral clearance  
404 ( $CL/F$ ), renal clearance ( $CL_R$ ), apparent volume of distribution ( $V_Z/F$  or  $V_{ss}/F$ ), and terminal  
405 half-life ( $t_{1/2}$ ). It is recommended that PK parameters be expressed in terms of total and unbound  
406 concentrations and when applicable (e.g., oral and renal clearance, expressed in terms of body  
407 weight, L/hr/kg). For drugs and metabolites with a relatively low extent of plasma protein  
408 binding (e.g., extent of binding less than 80 percent), description and analysis of the PK in terms  
409 of total concentrations can be sufficient. Noncompartmental and/or compartmental modeling  
410 approaches to parameter estimation can be employed.  
411  
412  
413

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<sup>10</sup> Guidance for Industry *Exposure-Response Relationships — Study Design, Data Analysis, and Regulatory Applications*, <http://www.fda.gov/cder/guidance/5341fnl.pdf>, issued May 2003.

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### 414 **B. Development of Dosing Recommendations**

415  
416 Specific dosing recommendations should be constructed based on study results. Typically the  
417 dose should be adjusted to produce a comparable range of unbound plasma concentrations of  
418 drug or active metabolites in both controls and pregnant patients. Simulations are encouraged as  
419 a means to identify doses and dosing intervals that achieve that goal for pregnant patients at  
420 different trimesters or gestational ages.

421  
422 One approach might be for the sponsor to recommend, prior to the conduct of the studies,  
423 specific *no effect* boundaries for the ratio of a PK measurement from pregnant patients and  
424 controls, such as (AUC<sub>u,pregnant</sub>/AUC<sub>u,control</sub>) or (D<sub>pregnant</sub>/D<sub>control</sub>). If the 90 percent  
425 confidence interval for the ratio of PK measurements falls within these boundaries, the sponsor  
426 might claim *no effect* of pregnancy on PK, and it would be reasonable to conclude that no dosage  
427 adjustment is needed for pregnancy. The sponsor might determine *no effect* boundaries from  
428 population or individual PK/PD relationships, dose-finding studies and/or dose-response studies  
429 which are conducted as part of drug development.

430  
431 Another approach might be for the sponsor to assume *no effect* boundaries of 80-125 percent for  
432 C<sub>max</sub> and AUC without further justification, recognizing that the small sample sizes in pregnancy  
433 studies coupled with high intersubject variability can preclude meeting the 80-125 percent *no*  
434 *effect* boundaries.

435  
436 For some drugs, pregnancy may not alter PK sufficiently to warrant dosage adjustment. A  
437 sponsor might make this claim by providing an analysis of the study data to show that the PK  
438 measurements most relevant to therapeutic outcome in pregnant patients are similar or equivalent  
439 to those in the comparator group.

### 440 441 442 **VII. LABELING**

443  
444 The Agency recommends that labeling reflect the data from PK/PD studies in pregnancy and, if  
445 known, dosing recommendations during pregnancy. The labeling would reflect the data  
446 pertaining to the effect of pregnancy on the PK and PD obtained from studies conducted. If no  
447 studies were conducted, the Agency recommends that the labeling indicate that the impact of  
448 pregnancy was not studied. If the PK/PD is altered during pregnancy, the appropriate description  
449 of such and recommendations for dosing should be stated in labeling.

450  
451 The various permutations of intrinsic drug characteristics and the effect of pregnancy on drug  
452 performance preclude precise specification of how such drugs would be labeled. The following  
453 comments offer general suggestions on labeling.

454  
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### 458 A. Clinical Pharmacology

459

#### 460 1. Pharmacokinetics Subsection

461

462 It is recommended that this section include information pertinent to pregnancy such as:

463

- 464 • Disposition of parent drug and metabolites, if applicable
- 465 • Effects of pregnancy on protein binding of parent drug and metabolites, if applicable
- 466 • Effects of changes in urinary pH or other special situations (e.g., tubular secretion
- 467 inhibited by probenecid)

468

#### 469 2. Special Populations Subsection

470

471 It is recommended that this section recapitulate, in brief, the PK changes found in pregnancy and,  
472 if needed, dosing adjustments for pregnant patients. This information should be based on the  
473 studies performed as described in this guidance. Reference should be made to the  
474 PRECAUTIONS/PREGNANCY and the DOSAGE AND ADMINISTRATION sections. The  
475 following text provides examples of possible wording for these sections.

476

477 The simplest situation involves drugs for which pregnancy has little or no effect on PK:

478

479 *The disposition of [Drug X] was studied in [number of] pregnant patients [in y trimester*  
480 *or from a through b weeks gestation]. Pregnancy has little or no influence on [Drug X]*  
481 *pharmacokinetics and no dosing adjustment is needed.*

482

483 This should be followed by a brief summary of the PK/PD data (e.g., mean, range).

484

485 Similarly, for drugs whose PK is influenced by pregnancy, the statement similar to the following  
486 can be modified as appropriate and in accordance with what is known about the drug (e.g., active  
487 or toxic metabolite) and from the studies performed in accordance with this guidance:

488

489 *The disposition of [Drug X] was studied in [number of] pregnant patients [in y trimester*  
490 *or from a through b weeks gestation]. Elimination of the drug (and metabolite, if*  
491 *applicable) is significantly changed during pregnancy. Total body clearance of*  
492 *(unbound, if applicable) [Drug X]/metabolite was reduced/increased in pregnant patients*  
493 *compared to [healthy postpartum women, the same women prior to pregnancy or c weeks*  
494 *postpartum]. The terminal half-life of [Drug X]/metabolite is [prolonged/decreased] by*  
495 *Y-, and Z- fold in second and third trimesters, respectively. Protein binding of [Drug*  
496 *X]/metabolite [is/is not] affected by pregnancy. The [drug/metabolite accumulates/does*  
497 *not accumulate] in pregnant patients on chronic administration resulting in*  
498 *increased/decreased plasma levels of drug/metabolite. The pharmacologic response*  
499 *[is/is not] affected by pregnancy. The dosage/dosing interval should be*  
500 *[decreased/increased] in pregnant patients receiving [Drug X] (see DOSAGE AND*  
501 *ADMINISTRATION).*

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### 503 **B. Precautions/Pregnancy**

504  
505 In addition to standard labeling for use in pregnancy, including Pregnancy Category, a brief  
506 statement regarding PK/PD in pregnancy would be included in the  
507 PRECAUTIONS/PREGNANCY section with cross reference to DOSAGE AND  
508 ADMINISTRATION and CLINICAL PHARMACOLOGY sections. If PK studies in pregnancy  
509 were not conducted, the Agency recommends that the labeling indicate that.

### 510 511 **C. Dosage and Administration**

512  
513 As appropriate, the following information could be included:

- 514  
515
- 516 • A statement describing the relationship between the drug's clearance and pregnancy
  - 517 • A statement describing how the dose would be adjusted during pregnancy, for example:

518 *The dose of [Drug X] should be [increased/decreased by \_\_\_\_\_%] during pregnancy.*

- 519
- 520 • A statement describing how the dose would be adjusted in the postpartum time period in  
521 nonlactating women, specifying the time period studied (e.g., 2 weeks postpartum)
  - 522 • The dosing adjustment regimen can alternatively be represented in tabular format, for  
523 example:

524  
525

<b>Group</b>	<b>Dosage (mg)</b>	<b>Frequency</b>
1 <sup>st</sup> trimester	x	Every y hours
2 <sup>nd</sup> trimester		
3 <sup>rd</sup> trimester		
Postpartum (specify time)		
Standard adult dose		

- 526
- 527 • If no dose adjustment is necessary the following statement is suggested:

528  
529 *The influence of pregnancy on [Drug X] pharmacokinetics is sufficiently small that no*  
530 *dosing adjustment is needed.*



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