

# Program Memorandum Carriers

Department of Health &  
Human Services (DHHS)  
Centers for Medicare &  
Medicaid Services (CMS)

Transmittal B-02-083

Date: NOVEMBER 8, 2002

## CHANGE REQUEST 2424

**SUBJECT: Create Import/Export Functionality Between the Unique Provider Identification Number System (UPIN) and the Provider Enrollment Chain Ownership System (PECOS)**

The following table lists the Change Requests (CRs) that make up the entire PECOS/MCS/VMS/PES/PENS CR Package for the April 2003 and July 2003 Releases: (Highlighted row indicates the current CR.)

CR #	Change Request Description
2422	Migrate Medicare Carrier Provider/Supplier enrollment data from the existing Carrier Provider Enrollment System (PES) into the Provider Enrollment Chain Ownership System (PECOS) and shut down all provider enrollment functions in PES.
2423	Migrate Medicare Carrier Provider/Supplier enrollment data from the existing Carrier Provider Enrollment System (PENS) into the Provider Enrollment Chain Ownership System (PECOS) and shut down all provider enrollment functions in PENS.
2424	Create Import/Export Functionality between the Unique Provider Identification Number system (UPIN) and the Provider Enrollment Chain Ownership System (PECOS).
2425	Create Import/Export Functionality between the Medicare Claims System (MCS) and the Provider Enrollment Chain Ownership System (PECOS).
2426	Process all Medicare Part B provider enrollments in the Provider Enrollment Chain Ownership System (PECOS). Modify MCS to incorporate all claim payment and provider correspondence functionality that is included in the Provider Enrollment System (PES) but will not be a part of PECOS. Shut down all provider enrollment functions in PES.
2427	Create Import/Export Functionality between the Viable Medicare System (VMS) and the Provider Enrollment Chain Ownership System (PECOS).

- A. Background: PECOS Release 3 is scheduled to go live for carrier use in July 2003. With this release, all Medicare carriers must enter all provider/supplier enrollment data into PECOS. Carrier supplier/provider enrollment data will no longer be entered into PES. All functions associated with provider enrollment will be handled by PECOS. As part of this effort, CMS will work with the carriers to migrate PES enrollment data into the PECOS system. PECOS will assume the responsibility for communicating with UPIN to obtain the providers' UPIN number and will work with the system maintainers of UPIN to develop import/export functions. PECOS will also assume the responsibility of communicating provider data to MCS and will work with the MCS system maintainers to develop the necessary export/import functions. This CR contains the requirements and design considerations that must be coded and tested for the April release.**
- B. Policy: Collection and retention of CMS 855 enrollment data has been cleared through a Paperwork Reduction Act Notice in the Federal Register. The authority for the various types of data to be collected is found in multiple sections of the Social Security Act and Code of Federal Regulations. Specifically in Sections 1816, 1819, 1833, 1834, 1842, 1861, 1866 and 1891 of the Act and 42 CFR Subchapter E.**

## II. BUSINESS REQUIREMENTS

Requirement #	Requirements	Responsibility
1	UPIN must accept an extract file containing provider data from PECOS.	UPIN Maintainer - National Heritage Insurance Co. in California
2	UPIN must send a file containing Unique Provider Identifier Numbers to PECOS.	UPIN Maintainer - National Heritage Insurance Co. in California

## III. SUPPORTING INFORMATION AND POSSIBLE DESIGN CONSIDERATIONS

### A. Other Instructions:

X-Ref Requirement #	Instructions
1	Format for PECOS extract to UPIN needs to be defined and created.
2	Format for UPIN extract to PECOS needs to be defined and created.

### B. Design Considerations:

X-Ref Requirement #	Recommendation for Medicare System Requirements
1	When UPIN receives an extract file from PECOS, UPIN should create a file/report that confirms that the extract file was processed correctly.
2	When PECOS receives an extract file from UPIN, PECOS should create a file/report that confirms that the extract file was processed correctly.

### C. Interfaces:

**PECOS will interface with the UPIN system. UPIN will enumerate the provider and send back the Unique Provider Identification Number to the PECOS system. PECOS will store the UPIN number.**

### D. Contractor Financial Reporting /Workload Impact:

**There will be no affect on providers or contractors.**

### E. Dependencies:

### F. Testing Considerations:

- **Testing should validate that UPIN and PECOS can create and process extract files from each other.**
- **PECOS should export data to a UPIN test region and validate that the data was exported to UPIN successfully.**
- **UPIN should export data to a PECOS test region and validate that the data was exported to PECOS successfully.**

## IV. ATTACHMENT(S)

- **PECOS CHANGE REQUEST DIAGRAM**

<p>Version:</p> <p>Implementation Date: Req 1 &amp; 2: Analysis and Design: April 1, 2003 Coding, Testing, Implementation: July 1, 2003</p> <p>Discard Date: November 8, 2003</p> <p>Post-Implementation Contact: Leslie West</p>	<p>Effective Date: PECOS Effective date is July 2003</p> <p>Funding: No additional funding</p> <p>Pre-Implementation Contact: Leslie West</p>
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# PECOS CHANGE REQUEST DIAGRAM

