

Two original consent agreements should be prepared. Once payment is rendered the payment is identified and one copy is given to the Operator or representative as a receipt. The Conference Officer will never sign this form until payment is rendered as this constitutes receipt of settlement.

2. Receipt of check no. 300 in the amount of
\$ 1,200.00 is hereby acknowledged in full payment of
said final penalty assessment (provided any personal check timely
clears the financial institution on which it is written).

Anyole Coal Company
Operator

By Lloyd Smith
Signature

LLOYD SMITH
Name (print or type)

MANAGER
Title

OFFICE OF SURFACE MINING

By Billy R. Kneisley
Signature

Billy R. Kneisley
Assessment Conference Officer

Payments are immediately transmitted to the appropriate office. Payments are not to be retained overnight or delayed in transmission. If the office has a designated collection officer the payment should be logged and transmitted by this person.

Department of the Interior
Office of Surface Mining
Abstract of Remittances

SAMPLE

Deposit Ticket No.: _____

Date of Deposit: _____

Type: Knorrville Field Office

Item No.	Transaction Number	Name of Remitter & Check Number	Description	Acct. Number	Amount
		Anyole Coal Co. Check No. 300 Dated 3/11/87	Civil Penalties assessed against Anyole Coal Co. NOV 86-91-000-1 FULL PAYMENT	141099	\$1,200.

Anyole Coal Company		No. 300
(615) 555-7225		
P.O. Box 000		
Jellico, Tn 37762		
UPTOWN BANK		<i>March 11 19 87</i>
Jellico, Tennessee 37762		
Pay <i>One thousand two hundred and 00/100</i>		DOLLARS <i>\$1,200⁰⁰</i>
To The Order Of: <i>Office of Surface Mining</i>		
Anyole Coal Company		
<i>Steve Smith</i>		
000001111-1111-3333 <i>86-91-000-1 (1)</i>		

The above listed remittance(s) received by: *Leticia Baumgardner* Date *3-11-87*
Collection Officer (Field Office)

The above listed remittance(s) received and deposited by: _____ Date _____
Collection Officer (Denver)

The audit report is to be attached to each completed conference case. Items should be reviewed and each part examined to assure that accuracy and completeness has been achieved. Only the appropriate pages of the audit report should be attached to the completed cases. The audit report will be completed by the Rater and the Program Manager.

CONFERENCE OFFICER AUDIT REPORT

<u>ANYOLE Coal Company</u>	<u>W86-91-000-001(1)</u>	<u>3-11-87</u>
Company Name	Citation #	Date of Conf.
<u>12-18-86</u>	<u>1600</u>	<u>1200</u>
Termination Date	\$: NOPA	\$: Revised Penalty
<u>1200</u>		<u>Kneisley</u>
\$: Collected	\$: Payment Plan	Conference Officer

I. Answer the following questions regarding your Conclusion of Conference Report.

Manager's Concurrence	Yes	No	Rater's Concurrence (Initials)
___ 1. Proper company name and citation number(s) appear on relevant sheets of the report	<input checked="" type="checkbox"/>	___	___
___ 2. The type of letter (i.e.- full payment or no payment required) is appropriate to the conclusion of conference.	<input checked="" type="checkbox"/>	___	___
___ 3. NOPA amount is transferred accurately to the conference report.	<input checked="" type="checkbox"/>	___	___
___ 4. Additions to or reductions in the penalty are accurately computed.	<input checked="" type="checkbox"/>	___	___
___ 5. Narrative is clearly and accurately written.	<input checked="" type="checkbox"/>	___	___
___ 6. Narrative is free of spelling and grammatical errors.	<input checked="" type="checkbox"/>	___	___
___ 7. A report for each violation conferenced is included in the report.	<input checked="" type="checkbox"/>	___	___
___ 8. A copy of the vacation of the violation(s) is attached if it has been vacated.	<u>N/A</u>	___	___
<u> </u> Date			<u> </u> Date

EXHIBIT 4 to Table E (Continued)

This page of the audit report only needs to be submitted if there was a payment or pay agreement executed.

Anyole Coal Co. NSC-71-000-001(1) Johnston
 Company Name Citation # Conference Officer

The following questions apply to those conferences in which the operator paid the revised penalty in full or signed a payment agreement. If these did not occur relative to the conference, do not include this sheet.

Manager's Concurrence	Yes	No	Rater's Concurrence (Initials)
<u>EU</u>			
	9. A copy of the check and/or assurance and the signed Consent Assessment of Civil Penalty Agreement (Full Payment) are included in the report when the penalty has been paid as the result of the conference.	<input checked="" type="checkbox"/>	<u>Pl</u>
<u>EU</u>	10. A copy of the payment plan agreement and down payment (if required) and the signed Consent Assessment of Civil Penalty (Payment Plan) are included in the report when the operator has agreed to this agreement.	<u>N/A</u>	<u>Pl</u>
<u>EU</u>	11. Company name, citation and permit numbers are consistent between the Conclusion of Conference report and the Consent assessment of Civil Penalty agreement.	<input checked="" type="checkbox"/>	<u>Pl</u>
<u>EU</u>	12. The interest rate on the Consent of Civil Penalty Agreement is listed at 7% and the payment amount and due date for payments are consistent with those in the payment plan chart and the Civil Penalty Resistance forms.	<u>N/A</u>	<u>Pl</u>

Interest is determined by the U. S. Department of the Treasury; it has fluctuated annually. Any rate changes, which are posted in the Congressional Record, must be reflected in agreements.

This page of the audit report is only applicable when there has been a revision of proposed assessment. As noted there must be justification and documentation sufficient to allow the revision.

Anyole Coal Co MSB-91-000-001(1) KNEISLEY
 Company Name Citation # Conference Officer

II. If the penalty was reduced, answer the following questions:

1. Penalty reductions follow guidelines outlined in the Assessment Manual:

Manager's Concurrence	Yes	No	Rater's Concurrence (Initials)
_____	<input checked="" type="checkbox"/>	<input type="checkbox"/>	_____

Rater's Comments:

2. Documentation is presented in the Conclusion of Conference Report to justify penalty reductions:

Manager's Concurrence	Yes	No	Rater's Concurrence (Initials)
_____	<input checked="" type="checkbox"/>	<input type="checkbox"/>	_____

Rater's Comments:

3. The Conference Officer's file contains sufficient information and documentation to support the justification presented in the Conclusion of Conference Report.

Manager's Concurrence	Yes	No	Rater's Concurrence (Initials)
_____	<input checked="" type="checkbox"/>	<input type="checkbox"/>	_____

Rater's Comments: