# National Surveillance of Dialysis-Associated Diseases in the United States, 1999

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#### SUMMARY

National Surveillance of Dialysis-Associated Diseases in the United States, 1999

- See summary of selected results (Table 1).
- This survey was performed yearly during 1982-1997 and in 1999 by the Centers for Disease Control and Prevention (CDC) and the Health Care Financing Administration (HCFA).
- **Hepatitis B vaccine use.** During 1997-1999, the percent of patients vaccinated increased from 47% to 55% and the percent of staff vaccinated increased from 87% to 88%.
- **Influenza and pneumococcal pneumonia vaccines** (data first collected in 1999). In 1999, an estimated 67% of patients had been vaccinated for influenza and 29% for pneumococcal pneumonia.
- Hepatitis C virus. In 1999, routine testing for antibody to hepatitis C virus (anti-HCV) was performed on staff at 36% of centers and on patients at 56% of centers. At centers testing, anti-HCV was found in 1.9% of staff and 8.9% of patients.
- Vascular access. During 1995-1999, the percentage of patients who received dialysis through central catheters increased from 13% to 22%; this trend is worrisome since infections and antimicrobial use are higher in patients receiving dialysis through catheters. However, during the same period, the percentage of patients receiving dialysis through fistulas increased from 22% to 26%.
- **Reasons for the use of catheters** (data first collected in 1999). In 1999, 26% of catheters were used for new patients awaiting an implanted access, 27% for established patients with a failed access awaiting a new implanted access, 42% as an access of last resort, and 7% for other reasons, including patient preference.
- **Vancomycin use**. The median percentage of patients reported to have received vancomycin during December decreased from 5.6% in 1995 to 4.3% in 1999.
- Vancomycin-resistant enterococcus (VRE). The percent of centers reporting one or more patients infected or colonized with VRE increased from 11.5% in 1995 to 34.1% in 1999.
- The Dialysis Surveillance Network. Because of the importance of these issues, CDC developed a voluntary surveillance system that has been in operation since August 1999. See Appendix II for more details.

#### Table 1. Summary

Category	Unit of		Year			
	Measurement	1995	1997	1999		
Centers responding to survey	number of centers	2,647	3,077	3,483		
Reuse dialyzers	% of centers	77	82	80		
Total staff, all centers (end of year)	number of staff	43,465	50,321	52,368		
Hepatitis B vaccination, staff	% of staff	82*	87*	88*		
Test staff for anti-HCV	% of centers	16	25	36		
Anti-HCV prevalence, staff	% of staff	2.0	1.6	1.9		
Total patients, all centers (end of year)	number of patients	162,970	195,935	225,226		
Vascular access Arteriovenous graft		65	60	52		
Arteriovenous fistula	% of patients	22	23	26		
Central catheter		13	17	22		
Hepatitis B vaccination, patients	% of patients	35*	47*	55*		
Influenza vaccination, patients	estimated % of	-		67		
Pneumococcal pneumonia vaccination, patients	patients vaccinated			29		
Test patients for anti-HCV	% of centers	39	48	56		
Anti-HCV prevalence, patients	% of patients	10.4	9.3	8.9		
HIV infection	% of patients	1.4	1.3	1.4		
AIDS	% of patients	0.7	0.6	0.5		
Vancomycin use, December	% of patients, median	5.6	4.5	4.3		
Vancomycin-resistant enterococcus (VRE)		11.5	29.8	34.1		
Methicillin-resistant Staphylococcus aureus	% of centers with \$1 patients	40	56	67		

#### National Surveillance of Dialysis-Associated Diseases, 1995-1999, United States

Anti-HCV denotes antibody to hepatitis C virus; HIV denotes human immunodeficiency virus; AIDS denotes acquired immunodeficiency syndrome.

\* For 1997 and 1999, included patients treated, or staff members working, at the end of the year. For 1995, included staff and patients from throughout the year.

#### INTRODUCTION

The Centers for Disease Control and Prevention (CDC) has been conducting surveillance of hemodialysis-associated hepatitis since the early 1970s (1), when CDC reported that the incidence of HBV infection among patients and staff during 1972-1974 had increased by more than 100%, to 6.2% and 5.2%, respectively. These early surveys had only a 50% to 65% response rate of centers listed by the National Dialysis Registry. In an effort to obtain a higher response rate, and thus more complete information, CDC initiated a cooperative program with the Health Care Financing Administration (HCFA) in 1976 that provided for a questionnaire from CDC to be included in HCFA's annual facility survey. As a result of this collaboration, the response rates to the CDC questionnaire now exceed 90%.

Since collaboration with HCFA was begun, the CDC survey has been performed for calendar years 1976, 1980, 1982 to 1997, and 1999 (2-12). Other hemodialysis-associated diseases and practices not related to hepatitis have been included over the years, and the questionnaire is continually updated to collect data about hemodialysis practices and hemodialysis-associated diseases of current interest and importance. The objectives of this yearly survey are to (a) determine the frequency with which certain hemodialysis practices are used, including measures designed to prevent disease, (b) determine the frequency of hemodialysis-associated complications and diseases, and (c) use this information to suggest further measures to prevent complications and disease in hemodialysis patients and staff.

#### **METHODS**

In conjunction with the annual facility survey performed by HCFA for calendar year 1999, CDC distributed a questionnaire (see Appendix I) by mail to all chronic hemodialysis centers licensed by HCFA. All responses were reviewed, and approximately 10% of centers that responded provided inaccurate or inconsistent responses and were contacted for clarification of responses. The survey covered:

- hemodialysis practices, reuse of disposable dialyzers, type of vascular access,
   procedures for cleaning and disinfection of dialysis equipment.
- b. use of hepatitis B virus, pneumococcal pneumonia, and influenza vaccines in

patients.

- c. the results of testing patients for hepatitis B surface antigen (HBsAg), antibody to HBsAg (anti-HBs), and antibody to hepatitis C virus (anti-HCV).
- d. the number of patients who received vancomycin in December 1999, and whether
   \$1 patients with vancomycin-resistant enterococcus (VRE) or methicillin-resistant
   *Staphylococcus aureus* (MRSA) were treated during 1999.
- e. the number of patients with human immunodeficiency virus (HIV) infection.
- f. in staff members, receipt of hepatitis B vaccine, and testing for anti-HCV.

Survey questions on hepatitis B vaccination and the prevalence of HIV infection/AIDS were changed for the 1997 and 1999 surveys, and referred only to patients treated or staff members who worked during a one-week period in December (in 1999, this was December 6-11) of the survey year; in previous years, the questions referred to patients and staff present in the unit at any time during the year.

In 1999, the incidence of hepatitis B virus (HBV) infection was defined as the number of patients who became positive for HBsAg during 1999 divided by the number of patients treated at the facility during December 6-11, 1999; in effect, the number of patients treated during the one-week period in December 1999 was used as an estimate of the average census at that dialysis center during 1999. In previous years, the denominator for this incidence rate was the total number of patients treated at the facility at any time during the year.

The prevalence rates of chronic HBV infection and immunity were defined as the percentage of all patients or staff present in the facility during December 6-11, 1999, who were positive for HBsAg or anti-HBs, respectively. All patients or staff (regardless of their susceptibility to HBV infection) were included in calculations of the incidence and prevalence of HBV infection. Among groups of dialysis centers, the median percent of patients receiving vancomycin in December 1999 was calculated by weighting each dialysis center by the number of patients treated.

Information on dialysis center location and ownership was obtained from the HCFA End Stage Renal Disease (ESRD) Facility Survey dataset. The results of the 1999 survey were compared to results from previous surveys. For administrative purposes, HCFA has designated 18 ESRD Networks, each composed of \$1 U.S. states, districts or territories(13); to evaluate differences in practices and diseases among centers in different geographic regions, analyses were performed according to ESRD Network.

Proportions were compared with the chi square or Fisher's exact test; when adjustment for confounding variables was required, the Mantel-Haenszel test or logistic regression was used. All p-values were two-tailed; a p-value of <0.05 was considered statistically significant.

#### **RESULTS AND DISCUSSION**

Questionnaires were returned by 3,483 (94%) of 3,668 centers. These 3,483 centers represented 225,226 patients and 52,368 staff members. During 1987-1999, the median number of patients per center increased from 40 to 56 and the median number of staff members per center increased from 12 to 13 (Table 2).

		Patients		Staff M	lembers
			Median per		Median per
Year	No. of Centers	Total Patients	Center	Total Staff	Center
1985	1,250	62,172	_*	20,346	_
1986	1,350	67,387		21,094	
1987	1,486	74,249	40	22,334	12
1988	1,586	80,651	41	23,778	12
1989	1,726	90,596	42	26,112	12
1990	1,882	101,763	43	29,252	13
1991	2,046	116,651	46	33,079	13
1992	2,170	128,264	49	36,000	14
1993	2,304	135,798	49	37,992	14
1994	2,449	149,743	51	40,951	14
1995	2,647	162,970	51	43,465	14
1996	2,808	177,324	53	47,215	14
1997	3,077	195,935	54	50,321	14
1999	3,483	225,226	56	52,368	13

Table 2. Numbers of Hemodialysis Centers, Patients, and Staff Members Surveyed, 1985-1999, United States

The numbers of patients and staff members reflect the numbers present during a one-week period in December of

the year.

\* Data not available.

During 1985-1999, the proportion of freestanding (i.e., located outside the hospital) centers increased from 56% to 80%, and the proportion of centers operating for profit increased from 46% to 75% (Table 3).

	Location		Ownership		
	Hospital	Freestanding	Profit	Nonprofit	Government
Year		perc	cent of hemodialys	ris centers	
1985	44	56	46	44	11
1986	42	58	49	41	10
1987	39	61	51	40	9
1988	37	63	53	39	8
1989	35	65	55	38	7
1990	34	66	56	37	7
1991	35	65	56	35	9
1992	33	67	57	34	9
1993	31	69	62	32	6
1994	29	71	62	31	6
1995	27	73	63	30	7
1996	26	74	66	28	6
1997	23	77	70	25	5
1999	20	80	75	21	4

Table 3. Location and Ownership of Hemodialysis Centers, 1985-1999, United States

# **Dialyzer Types**

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During December 6-11, 1999, the most common dialyzer types were high flux polysulfone (e.g., F60, F80, 50% of patients) and low flux polysulfone (e.g., F5, F8; 20.9% of patients) (Table 4).

	Percent of Patients
Dialyzer Type	Treated With
High flux polysulfone	50.0
Low flux polysulfone	20.9
Cellulose acetate	11.5
Cellulose triacetate	8.4
Regenerated cellulose,	6.5
cuprophan	
Hemophan	0.9
РММА	0.2
Other	3.4

Table 4. Dialyzer Types, December 6-11, 1999, United States

# **Dialyzer Reuse**

During 1976-1997, the percentage of centers that reported reuse of disposable dialyzers increased from 18% to 82%, but decreased slightly to 80% in 1999 (Table 5). Although dialyzer reuse has been implicated in numerous outbreaks, this practice is safe if performed according to recognized protocols (14,15).

		No. (%) Reusing
Year	No. of Centers	Dialyzers
1976	750	135 (18)
1980	956	179 (19)
1982	1,015	435 (43)
1983	1,120	579 (52)
1984	1,201	693 (58)
1985	1,250	764 (61)
1986	1,350	855 (63)
1987	1,486	948 (64)
1988	1,586	1,058 (67)
1989	1,726	1,172 (68)
1990	1,882	1,310 (70)
1991	2,046	1,453 (71)
1992	2,170	1,569 (72)
1993	2,304	1,688 (73)
1994	2,449	1,835 (75)
1995	2,647	2,048 (77)
1996	2,808	2,261 (81)
1997	3,077	2,523 (82)
1999	3,478	2,788 (80)

Table 5. Hemodialysis Centers Having Dialyzer Reuse Programs, 1976-1999, United States

# Methods Used for Reprocessing Dialyzers

During 1983-1999, the proportion of centers using formaldehyde for reprocessing dialyzers decreased from 94% to 33%, while the proportion using a peracetic acid product increased from 5% to 58% (Table 6). In 1999, 3% of centers used heat to disinfect dialyzers between reuses.

	Percent of Centers Using Method				
Year	Formaldehyde	Peracetic Acid	Glutaraldehyde	Heat	
1983	94	5	<1		
1984	86	12	3		
1985	80	17	3		
1986	69	28	3		
1987	62	34	4		
1988	54	40	6		
1989	47	46	7		
1990	43	49	8		
1991	42	50	9		
1992	40	52	8	<1	
1993	40	51	8	1	
1994	40	52	7	1	
1995	38	54	7	1	
1996	36	54	7	3	
1997	34	56	7	3	
1999	33	58	6	3	

Table 6. Methods for Reprocessing Dialyzers in Hemodialysis Centers, 1983-1999, United States

#### Vascular Access Types

During December 6-11, 1999, 51.9% of patients received dialysis through an arteriovenous graft, 26.0% through an arteriovenous fistula, and 22.2% through a temporary or permanent central catheter (Table 7). Since 1995, the percent of patients receiving dialysis through catheters increased from 12.7% to 22.2%.

In 1999, 25.6% of catheters were used for new patients awaiting an implanted access (i.e., a fistula or graft), 27.3% for established patients with a failed access awaiting a new implanted access, 42.2% as an access of last resort, and 6.6% for other reasons, including patient preference.

Among the 18 ESRD networks designated by HCFA (see Methods), use of fistulas (the most desirable access type) ranged from 18.3% to 38.2% (Table 8). The estimated risk of access-related bacteremia was calculated by using rates measured in the Dialysis Surveillance Network(16), a voluntary surveillance system for monitoring bacterial infections and related events in hemodialysis patients (Appendix II); the rates used for this calculation were 0.22 access-related bacteremias per 100 patient-months for fistulas, 0.48 for grafts, 5.25 for noncuffed catheters, and 8.85 for cuffed catheters (unpublished data, CDC). Network 17 had the lowest estimated risk and Network 9 the highest; note that the estimated risk of vascular access infection is most closely related to the percentage of patients receiving dialysis through cuffed catheters.

	Number of	f Percent of Patients Receiving Dialysis Through		
Year	Patients	Fistula	Graft	Catheter
1995	153,320	22.2	65.1	12.7
1996	176,609	22.1	62.9	14.9
1997	195,588	22.8	59.7	17.5
1999	225,226	26.0	51.9	22.2

Table 7. Types of Vascular Access Used for Hemodialysis, 1995-1999, United States.

							Estimated
			Perce	Percent of Patients Receiving Dialysis			Risk of Access-
				Tł	nrough		Related
ESRD	States, Districts,	No. of			Noncuffed	Cuffed	Bacteremia*
Network	or Territories	Patients	Fistula	Graft	Catheter	Catheter	
17	AS, GU, HI, CA (northern)	11,283	28.1	56.1	3.8	11.9	1.6
18	CA (southern)	16,605	23.4	60.3	3.3	13.4	1.7
14	TX	18,825	18.3	64.5	2.4	14.8	1.8
8	AL, MS, TN	13,011	19.3	63.0	3.3	14.6	1.8
2	NY	17,041	36.4	44.8	2.2	16.8	1.9
16	AK, ID, MT, OR, WA	5,392	35.8	45.7	0.9	17.7	1.9
15	AZ, CO, NM, NV, UT, WY	8,235	31.5	48.1	2.2	18.3	2.0
6	GA, NC, SC	20,418	23.1	55.2	3.0	19.0	2.2
5	DC, MD, VA, WV	14,637	21.0	56.4	3.7	19.0	2.2
1	CT, MA, ME, NH, RI, VT	8,284	38.2	38.7	3.1	20.0	2.2
13	AR, LA, OK	10,330	21.2	55.2	3.4	20.2	2.3
11	MI, MN, ND, SD, WI	13,401	25.7	49.4	4.1	21.1	2.4
10	IL	9,841	25.2	48.9	5.0	21.1	2.4
7	FL	13,167	26.9	47.0	3.5	22.8	2.5
3	NJ, PR	10,331	31.0	40.9	6.9	21.2	2.5
12	IA, KS, MO, NE	7,136	26.7	46.4	2.4	24.5	2.6
4	DE, PA	10,965	26.7	45.7	2.3	25.4	2.6
9	IN, KY, OH	15,967	25.5	46.5	2.5	25.5	2.7
	All	224,869	26.0	51.9	3.2	19.0	2.2

Table 8. Vascular Access Types by End Stage Renal Disease (ESRD) Network, December 1999, United States

AS denotes American Samoa, GU denotes Guam.

\* Estimated vascular access-related bacteremias per 100 patient-months. See text for details of the calculation.

Rows are sorted by this estimate.

#### Use of Pneumococcal Vaccine

In 1999, pneumococcal vaccine was offered to patients at 64.5% of centers, which included 18.4% of centers with <25% of patients vaccinated, 10.9% with 25-49% vaccinated, 11.0% with 50-74% vaccinated, 17.1% with \$75% vaccinated, and 7.1% with percent vaccinated unknown.

The percent of patients vaccinated was estimated by assuming that 0% of patients were vaccinated at centers not offering the vaccine, 12.5% were vaccinated at centers with <25% vaccinated, 37.5% at centers with 25-49% vaccinated, 67.5% at centers with 50-74% vaccinated, and 87.5% at centers with \$75% vaccinated. Overall, the estimated percent vaccinated was 28.9% (range 12.5%-41.6% among the ESRD Networks, Table 9).

ESRD		No. of	Offer Vaccine to	Estimated Percentage of
Network	States, Districts, or Territories	Centers	Patients (% of Centers)	Patients Vaccinated
17	AS, GU, HI, CA (northern)	137	32.1	12.5
3	NJ, PR	111	60.4	18.1
18	CA (southern)	193	50.3	20.6
6	GA, NC, SC	323	55.4	21.8
13	AR, LA, OK	222	57.7	24.5
5	DC, MD, VA, WV	249	61.8	27.0
10	IL	109	65.1	28.8
1	CT, MA, ME, NH, RI, VT	119	69.7	28.9
15	AZ, CO, NM, NV, UT, WY	140	59.3	29.8
7	FL	229	72.5	30.1
8	AL, MS, TN	243	68.7	30.2
16	AK, ID, MT, OR, WA	100	59.1	32.2
2	NY	189	66.1	33.8
14	TX	257	72.8	34.3
4	DE, PA	188	72.3	34.7
9	IN, KY, OH	243	67.5	37.4
11	MI, MN, ND, SD, WI	257	80.5	38.1
12	IA, KS, MO, NE	161	73.9	41.6
	All	3,474	64.5	28.9

Table 9. Use of Pneumococcal Vaccine In Patients by End Stage Renal Disease (ESRD) Network, 1999, United

States

AS denotes American Samoa, GU denotes Guam.

Rows are sorted by estimated percentage of patients vaccinated.

#### Use of Influenza Vaccine

In 1999, influenza vaccine was offered to patients at 95.1% of centers, which included 4.4% of centers with <25% of patients vaccinated, 9.6% with 25-49% vaccinated, 24.5% with 50-74% vaccinated, 53.3% with \$75% vaccinated, and 3.3% with percent vaccinated unknown (Table 10). The percent of patients vaccinated was estimated using methods similar to that outlined under "Use of Pneumococcal Vaccine." Overall, the estimated percent vaccinated was 67.4% (range 54.9-74.7% among the ESRD networks, Table 10).

ESRD		No. of	Offer Vaccine to	Estimated Percentage of
Network	States, Districts, or Territories	Centers	Patients (% of Centers)	Patients Vaccinated
17	AS, GU, HI, CA (northern)	137	78.1	54.9
10	IL	108	94.4	56.6
16	AK, ID, MT, OR, WA	100	78.0	57.0
7	FL	229	90.8	58.0
3	NJ, PR	111	98.2	59.2
18	CA (southern)	195	95.4	63.2
5	DC, MD, VA, WV	250	89.6	64.9
6	GA, NC, SC	326	95.4	68.4
8	AL, MS, TN	244	98.8	69.0
2	NY	191	96.3	69.7
1	CT, MA, ME, NH, RI, VT	119	99.2	71.2
11	MI, MN, ND, SD, WI	257	98.4	71.4
13	AR, LA, OK	222	95.0	72.3
9	IN, KY, OH	243	99.6	72.3
4	DE, PA	187	99.5	73.6
15	AZ, CO, NM, NV, UT, WY	141	96.5	74.5
12	IA, KS, MO, NE	161	95.7	74.6
14	TX	256	100.0	74.7
	All	3481	95.1	67.4

Table 10. Use of Influenza Vaccine in Patients by End Stage Renal Disease (ESRD) Network, 1999, United States

AS denotes American Samoa, GU denotes Guam.

Rows are sorted by estimated percentage of patients vaccinated

#### **Use of Hepatitis B Vaccine**

In 1999, policies for vaccination of chronic hemodialysis patients were as follows: 94.6% of centers offered vaccine to patients; in 1.7% of centers vaccine was offered at individual physician's offices; 2.2% of centers did not offer vaccine to patients; and 1.6% of centers reported other policies.

During 1983-1999, the proportion who had ever received at least three doses of hepatitis B vaccine increased from 5% to 55% among patients and from 26% to 88% among staff (Table 11). Note that the survey questions on vaccination of patients and staff were changed for the 1997 and 1999 surveys. During 1983-1996, the percent of patients vaccinated was calculated as the number of vaccinated patients treated at any time during the year divided by the total number of patients treated at any time during the year divided by the total number of patients treated at any time during the year. In 1997 and 1999, the percent of patients vaccinated was calculated as the number of vaccinated patients who were present during a one-week period in December divided by the total number of patients present during the same one-week period in December. Similar methods were used to calculate the percents of staff vaccinated during the two time periods (1983-1996 vs 1997-1999). The vaccination data may be more accurate for 1997 and 1999 than for previous years, since determination of vaccine status in December of the year, at the time the survey is completed, should be more accurate than determining vaccine status for patients treated (or staff members who worked) at any time during the year, as was requested in previous surveys.

	No. (%) Ever Receiving \$3 Doses of Vaccine		
Year	Patients	Staff Members	
1983	3,619 ( 5.4)	5,670 (26.1)	
1984	4,495 ( 6.0)	7,181 (31.6)	
1985	6,290 ( 7.8)	8,521 (35.5)	
1986	8,815 (10.1)	9,877 (39.4)	
1987	12,270 (12.8)	11,316 (41.9)	
1988	17,019 (15.8)	12,949 (45.5)	
1989	21,623 (17.6)	15,578 (48.0)	
1990	24,260 (18.2)	19,311 (53.0)	
1991	25,397 (16.9)	22,499 (56.1)	
1992	37,459 (23.6)	30,069 (69.4)	
1993	47,183 (28.8)	34,885 (76.1)	
1994	61,492 (31.0)	40,008 (79.6)	
1995	74,217 (35.0)	44,542 (82.4)	
1996	79,133 (36.0)	48,817 (81.9)	
1997*	87,749 (46.7)	43,341 (86.6)	
1999*	116,920 (55.3)	45,735 (88.5)	

Table 11. Use of Hepatitis B Vaccine in Hemodialysis Centers, 1983-1999, United States

 $\ast$  Methods differed in 1983-1996 vs 1997-1999 (see text for details).

Among the ESRD networks, hepatitis B vaccination among patients in 1999 varied from 38.8% to 66.7% (Table 12). The largest absolute increase in vaccination during 1997-1999 occurred in ESRD network 18.

ESRD		Percent	Absolute	
Network	States, Districts, or Territories	1997	1999	Change
10	IL	31.5	38.8	7.3
3	NJ, PR	37.4	41.5	4.1
2	NY	36.5	43.7	7.2
18	CA (southern)	29.9	52.2	22.3
5	DC, MD, VA, WV	45.5	52.6	7.1
17	AS, GU, HI, CA (northern)	39.0	54.7	15.7
15	AZ, CO, NM, NV, UT, WY	42.8	56.2	13.4
7	FL	52.0	56.4	4.4
9	IN, KY, OH	47.7	57.2	9.5
1	CT, MA, ME, NH, RI, VT	47.2	57.3	10.1
11	MI, MN, ND, SD, WI	54.1	57.4	3.3
6	GA, NC, SC	54.5	57.6	3.1
13	AR, LA, OK	50.9	57.6	6.7
4	DE, PA	53.0	58.0	5.0
8	AL, MS, TN	53.7	61.7	8.0
16	AK, ID, MT, OR, WA	58.0	62.5	4.5
14	TX	55.0	65.2	10.2
12	IA, KS, MO, NE	55.2	66.7	11.5
	All	46.7	55.3	8.6

Table 12. Use of Hepatitis B Vaccine in Hemodialysis Patients by End Stage Renal Disease (ESRD) Network,1995-1997, United States

AS denotes American Samoa, GU denotes Guam.

# **Prevalence of Antibody to Hepatitis B Surface Antigen (anti-HBs)**

During 1980-1999, the prevalence of anti-HBs among patients increased from 11.3% to 36.8% (Table 13). The presence of anti-HBs indicates immunity to HBV infection, either from vaccination or as a result of recovery from infection with natural infection (17).

Table 13. Prevalence of Antibody to Hepatitis B Surface Antigen in Hemodialysis Patients and Staff, 1980-1999,United States

	Pati	Patients		Staff Members	
Year	Number Tested	Prevalence (%)	Number Tested	Prevalence (%)	
1980	43,796	11.3	15,603	16.1	
1982*	49,275	12.3	16,235	18,1	
1983	54,343	18.3	18,714	39,2	
1984	60,782	19.5	19,793	43.6	
1985	62,172	17.7	20,346	45.9	
1986	59,425	17.9	20,456	47.4	
1987	67,387	18.3	21,761	49.6	
1988	71,262	19.9	23,012	53.6	
1989	81,672	19.1	25,355	54.0	
1990	90,661	19.7	28,470	58.1	
1991	101,888	21.2	31,872	62.7	
1992	102,337	24.2	32,916	70.4	
1993	114,528	28.7	35,589	78.1	
1994	130,798	28.9	36,804	78.4	
1995	144,607	30.8	38,627	79.2	
1996	158,545	32.0	40,328	77.6	
1997	163,937	33.3	38,638	78.1	
1999	207,293	36.8	Not collected	Not collected	

\* Hepatitis B vaccine introduced

#### **Incidence and Prevalence of HBV Infection**

In 1999, 80.4% of centers reported screening susceptible patients monthly for HBsAg, 0.7% bimonthly, 13.2% quarterly, 4.2% semiannually, and 1.4% other or none.

During 1976-1999, the incidence of HBV infection in patients decreased from 4.4% to 0.06%, with the largest decline occurring during 1976-1980 (Table 14). Note that in 1999 the denominator for the HBsAg incidence rate was the number of patients treated during a one-week period in December of the year, while in prior years it was the number of patients treated throughout the year.

During 1976-1999, the prevalence of HBsAg-positivity among patients declined from 7.8% to 0.9% (Table 14).

In 1999, 3.3% of centers reported \$1 patients with newly acquired (incident) HBV infection, 24.1% of centers reported \$1 patients with chronic (prevalent) HBV infection, and 25.5% of centers reported \$1 patients with either acute or chronic HBV infection.

This national surveillance project was initiated primarily because of the high incidence of HBV infection reported among hemodialysis patients and staff in the early 1970s (1). Hemodialysis patients may acquire HBV infection from community sources or from transmission in hemodialysis centers due to inadequate infection control precautions (18-20) or to accidental breaks in technique (21). Factors contributing to the decline in HBV infection since the 1970s have been previously reviewed (9).

	Incidence		Pre	valence
Year	Total Patients	Incidence (%)	Total Patients	Prevalence (%)
1976	33,875	3.0	22,876	7.8
1980	62,723	1.0	43,796	3.8
1982	66,326	0.5	49,275	2.7
1983	67,229	0.5	54,343	2.4
1984	76,327	0.3	60,782	2.3
1985	80,151	0.3	62,172	2.1
1986	87,505	0.3	67,387	1.9
1987	97,225	0.2	74,249	1.7
1988	107,804	0.2	80,651	1.5
1989	122,734	0.1	90,596	1.4
1990	140,608	0.2	101,763	1.2
1991	155,877	0.2	116,651	1.3
1992	170,028	0.1	128,264	1.2
1993	180,341	0.1	135,798	1.2
1994	206,884	0.1	149,743	1.1
1995	224,954	0.06	162,970	1.1
1996	229,527	0.08	177,324	1.1
1997	253,001	0.05	195,935	0.9
1999	225,226*	0.06	225,226	0.9

 Table 14. Incidence and Prevalence of Hepatitis B Virus Infection in Hemodialysis Patients, 1976-1999, United

 States

\* Denominator changed for 1999 survey. See text.

#### Hepatitis C

In 1999, 56% of centers tested patients for anti-HCV, and the prevalence of anti-HCV at these centers was 8.9%; 36% of centers tested staff for anti-HCV, and the prevalence of anti-HCV at these centers was 1.9% (Table 15). Among centers that tested for anti-HCV, 12.2% reported having \$1 patients who became anti-HCV positive in 1999 (i.e., tested positive for anti-HCV in 1999 and had previously tested negative).

Anti-HCV prevalence among patients was similar at centers that reused (8.9%) and did not reuse (8.5%) dialyzers (p=0.6 controlling for center size). Anti-HCV prevalence among staff was similar at centers that reused (2.0%) and did not reuse (1.8%) dialyzers (p=0.4).

Among centers that reused dialyzers, 1,973 (72.4%) reused them on patients that were anti-HCV positive, 406 (14.9%) did not reuse them on anti-HCV positive patients, and 348 (12.8%) did not have any anti-HCV-positive patients. The prevalence of anti-HCV was higher at centers that reused vs those that did not reuse dialyzers on anti-HCV-positive patients (9.4% vs 7.9%, p=0.012 controlling for center size and ESRD network). The percent of centers with \$1 patients who became anti-HCV positive in 1999 was higher among centers that reused dialyzers on anti-HCV positive patients (13.8%) than on centers that did not (10.1%, p=0.1), but the difference was not statistically significant. Since reprocessed dialyzers are reused on the same patient, it is unclear why reuse would be associated with higher rates of HCV infection among patients. This association could be due to unmeasured confounding factors or related to environmental contamination resulting from improper handling (e.g., transport of used dialyzers to a reprocessing area without placing them in leakproof containers).

		% of Centers	Total	No. (%)
Group	Year	Testing	Tested	Positive
Patients	1992	22	27,086	2,202 (8.1)
	1993	29	37,654	3,654 (9.7)
	1994	34	50,438	5,306 (10.5)
	1995	39	61,400	6,362 (10.4)
	1996	44	75,601	7,652 (10.1)
	1997	48	91,098	8,434 (9.3)
	1999	56	120,871	10,726 (8.9)
Staff	1992	10	2,889	45 (1.6)
	1993	15	4,825	75 (1.6)
	1994	16	5,679	106 (1.9)
	1995	16	6,238	122 (2.0)
	1996	20	8,472	113 (1.3)
	1997	25	11,649	190 (1.6)
	1999	36	16,804	327 (1.9)

Table 15. Antibody to Hepatitis C Virus Testing and Prevalence among Hemodialysis Patients and Staff, 1992-1999, United States

# Among the ESRD networks, anti-HCV prevalence ranged from to 5.6 to 11.3% (Table 16).

ESRD	States, Districts, or	Total Tested	Anti-HCV Positive
Network	Territories		(%)
2	NY	11,515	11.3
5	DC, MD, VA, WV	8,369	11.2
14	TX	11,129	10.6
3	NJ, PR	5,358	10.3
13	AR, LA, OK	6,497	9.9
4	DE, PA	6,348	9.8
11	MI, MN, ND, SD, WI	6,588	9.3
7	FL	7,778	8.8
8	AL, MS, TN	7,038	8.3
17	AS, GU, HI, CA (northern)	6,258	8.3
12	IA, KS, MO, NE	2,900	8.2
18	CA (southern)	8,096	7.6
6	GA, NC, SC	12,174	7.4
1	CT, MA, ME, NH, RI, VT	4,538	7.0
16	AK, ID, MT, OR, WA	2,561	6.8
10	IL	3,571	6.7
15	AZ, CO, NM, NV, UT, WY	3,965	6.7
9	IN, KY, OH	6,142	5.6
	All	120,871	8.9

Table 16. Prevalence of Antibody to Hepatitis C Virus (anti-HCV) Among Hemodialysis Patients by End StageRenal Disease (ESRD) Network, 1999, United States

AS denotes American Samoa, GU denotes Guam.

#### **Place of Preparation of Injectable Medications**

In 1999, medications from multidose vials were most commonly drawn into syringes in preparation for patient administration in a separate medication room or in a medication area separate from the dialysis stations (72.3% of centers), at the dialysis stations (10% of centers), or on a medication cart which is wheeled from patient dialysis station to dialysis station (17.8% of centers).

The place where medications were drawn up was not associated with HBV incidence. However, use of a medication cart was associated with a higher anti-HCV prevalence and with a larger percent of centers with \$1 patients who became anti-HCV positive in 1999 (Table 17).

Table 17. Place Where Injectable Medications Were Prepared and Association with Hepatitis B Virus and Hepatitis C Virus Infection, 1999, United States

			Had Patients Who
Place Where Medication	HBsAg Incidence, No.	Anti-HCV Prevalence,	Became Anti-HCV
Drawn Up Into Syringe	(%) of Patients	No. (%) of Patients*	Positive in 1999,
			No. (%) of Centers*
Separate medication	87 (0.059)	6,898 (8.6)	145 (10.3)
room or area			
Dialysis station	16 (0.066)	1,178 (9.1)	23 (11.2)
Medication cart	30 (0.061)	2,623 (9.7)†	56 (15.8)†

HBsAg denotes hepatitis B surface antigen, anti-HCV denotes antibody to hepatitis C virus.

\* Analysis limited to centers that test for anti-HCV.

† P<0.05 compared with separate medication room.

# Vancomycin Use

The median percent of patients receiving vancomycin in December decreased from 5.6% in 1995 to 4.3% in 1999 (Table 18). In 1999, vancomycin use varied among the ESRD networks from 2.4% of patients in network 17 to 5.8% of patients in network 7 (Table 19).

Table 18. Receipt of Vancomycin by Chronic Hemodialysis Patients, by Year, 1995-1999, United States

	Percent of Patients Receiving	
	Vancomyin During December	
	(median)	
Year		
1995	5.6	
1996	5.1	
1997	4.5	
1999	4.3	

Table 19. Receipt of Vancomycin by Chronic Hemodialysis Patients by End Stage Renal Disease (ESRD)
Network, December 1997 and 1999, United States

ESRD		Total Centers	Cotal Centers Received Vancomycin*		Absolute
Network	States, Districts, or Territories	in 1999	1997	1999	Change
17	AS, GU, HI, CA (northern)	136	2.7	2.4	-0.3
18	CA (southern)	180	3.5	2.7	-0.8
16	AK, ID, MT, OR, WA	100	3.4	3.8	0.4
11	MI, MN, ND, SD, WI	245	4.5	3.9	-0.6
8	AL, MS, TN	223	4.0	4.0	0
14	TX	245	3.4	4.0	0.6
4	DE, PA	180	4.5	4.3	-0.2
1	CT, MA, ME, NH, RI, VT	115	4.5	4.4	-0.1
5	DC, MD, VA, WV	243	4.7	4.4	-0.3
6	GA, NC, SC	295	4.5	4.4	-0.1
2	NY	180	5.6	4.5	-1.1
9	IN, KY, OH	233	5.5	4.6	-0.9
12	IA, KS, MO, NE	157	5.0	4.6	-0.4
15	AZ, CO, NM, NV, UT, WY	133	3.9	4.7	0.8
10	IL	100	4.4	5.0	0.6
13	AR, LA, OK	210	5.4	5.1	-0.3
3	NJ, PR	111	6.9	5.7	-1.2
7	FL	215	6.1	5.8	-0.3
	All	3,305	4.5	4.3	-0.2

AS denotes American Samoa, GU denotes Guam.

\* Median percent of patients receiving vancomycin in December of 1997 or 1999 (rows are sorted on this value for

1999).

#### **Antimicrobial Use Policies**

In 1999, 93.6% of centers reported using \$1 measures to encourage judicious antimicrobial use. Antimicrobial use policies included: the reason for the antimicrobial must be recorded in the patient's chart or on an order form, 63.9% of centers; a written policy on antimicrobial use, 41.7% of centers; automatic stop order (i.e., antimicrobials must be reordered at intervals), 30.8% of centers; formulary restriction (i.e., only selected antimicrobials are available), 30.5% of centers; and approval needed for certain antimicrobials, 23.6% of centers.

The median percentage of patients receiving vancomycin in December 1999 was slightly lower at centers having a written policy on antimicrobial use (4.1%) than at centers not having such a policy (4.5%, p=0.048). There were no significant differences in vancomycin use associated with other antimicrobial use measures (data not shown).

#### Vancomycin-Resistant Enterococci (VRE)

In 1999, the number of patients with known VRE was as follows: no known patients with VRE, 65.9% of centers; 1-4 patients with VRE, 31.6% of centers; 5-9 patients with VRE, 1.9% of centers; and \$10 patients with VRE, 0.5% of centers. At centers having \$1 VRE-positive patients, VRE-positive patients were never treated in a separate room at 64.4% of centers, sometimes in a separate room at 13.5% of centers, and always in a separate room at 22.2% of centers. Rectal swab or stool cultures to check for VRE were done at 6% of centers.

The percentage of centers reporting \$1 patients with VRE increased from 11.5% in 1995 to 34.1% in 1999 (Table 20). Among the ESRD networks, reporting of VRE varied from 14.0% (network 16) to 62.1% (network 1; Table 21).

The data reported here on treatment of VRE patients are limited in that the survey does not distinguish between clinical infection and colonization (i.e., positive culture for the organism without invasive infection). Centers that perform surveillance for VRE with stool or rectal cultures, or that treat patients from hospitals where such culturing is done, would be more likely to report VRE-colonized patients, introducing "surveillance bias."

Table 20. Reporting of One or More Patients with Vancomycin-Resistant Enterococci (VRE), by Year, 1995-1999, United States

Year	Number of	Number (%) of Centers Reporting
	Centers	VRE Patients
1995	2,634	303 (11.5)
1996	2,801	596 (21.3)
1997	3,077	918 (29.8)
1999	3,462	1,180 (34.1)

Table 21. Reporting of One or More Patients with Vancomycin-Resistant Enterococci (VRE), by ESRD Network,1999, United States

ESRD		Percent	of Centers	Absolute
Network	States, Districts, or Territories	Reporti	Reporting VRE	
		1997	1999	
16	AK, ID, MT, OR, WA	20.2	14.0	-6.2
8	AL, MS, TN	14.8	17.7	2.9
7	FL	13.3	19.7	6.4
13	AR, LA, OK	14.8	20.3	5.5
6	GA, NC, SC	20.8	25.8	5.0
14	TX	20.2	29.2	9.0
17	AS, GU, HI, CA (northern)	38.4	30.1	-8.3
15	AZ, CO, NM, NV, UT, WY	28.6	35.7	7.1
11	MI, MN, ND, SD, WI	31.7	36.5	4.8
18	CA (southern)	38.2	36.6	-1.6
12	IA, KS, MO, NE	35.9	38.6	2.7
2	NY	39.6	40.3	0.7
5	DC, MD, VA, WV	29.0	42.9	13.9
3	NJ, PR	35.6	45.0	9.4
9	IN, KY, OH	33.2	45.7	12.5
4	DE, PA	56.3	47.3	-9.0
10	IL	44.3	49.5	5.2
1	CT, MA, ME, NH, RI, VT	57.7	62.1	4.4
	All	29.8	34.1	4.3

AS denotes American Samoa, GU denotes Guam.

Rows are sorted by percent reporting VRE in 1999.

#### Human Immunodeficiency Virus Infection

During 1985-1999, the percentage of centers that reported providing dialysis for patients with HIV infection increased from 11% to 36% (Table 22). Since a minority of centers routinely test for HIV, these figures may be underestimates. Note that the survey questions on HIV infection and AIDS were changed for the 1999 survey. In 1985-1997, the percent of patients with HIV infection was calculated as the number of patients with HIV infection who were treated at any time during the year divided by the total number of patients who were treated at any time during the year. In 1999, the percent of patients with HIV infection was calculated as the number of patients with HIV infection was calculated as the number of patients with HIV infection was calculated as the number of patients with HIV infection was calculated as the number of patients with HIV infection was calculated as the number of patients with HIV infection was calculated as the number of patients with HIV infection was calculated as the number of patients with HIV infection was calculated as the number of patients with HIV infection who were present during a one-week period in December divided by the total number of patients who were present during the same one-week period in December. Similar method was used to calculate the percent of patients with AIDS during 1985-1997 vs 1999.

	No. (%) of	No. (%) of	No. (%) of
	Centers Treating Patients with	Patients with	Patients with
Year	HIV Infection	HIV Infection	Clinical AIDS
1985	134 (11)	244 (0.3)	-
1986	238 (18)	546 (0.6)	332 (0.4)
1987	351 (24)	924 (1.0)	462 (0.5)
1988	401 (25)	1,253 (1.2)	670 (0.6)
1989	456 (26)	1,248 (1.0)	663 (0.5)
1990	493 (26)	1,533 (1.1)	739 (0.5)
1991	601 (29)	1,914 (1.2)	967 (0.6)
1992	737 (34)	2,501 (1.5)	1,126 (0.7)
1993	792 (34)	2,780 (1.5)	1,350 (0.7)
1994	914 (37)	3,144 (1.5)	1,593 (0.8)
1995	1,022 (39)	3,090 (1.4)	1,606 (0.7)
1996	1,088 (39)	3,112 (1.4)	1,512 (0.7)
1997	1,214 (39)	3,298 (1.3)	1,501 (0.6)
1999*	1,241 (36)	3,223 (1.4)	1,077 (0.5)

Table 22. Chronic Hemodialysis Centers Reporting Patients with HIV Infection, 1985-1999, United States

\* Methods changed in 1999 (see text).

In 1999, 1.4% (range among the networks, 0.4%-3.1%) of patients were reported to have HIV infection and 0.5% (range among the networks, 0.1%-1.0%) to have AIDS (Table 23).

	States, Districts, or Territories			Percent of Patients With	
ESRD Network		Number of Centers	Number of Patients	HIV Infection	AIDS
2	NY	193	17,041	3.1	1.0
3	NJ, PR	111	10,331	2.8	1.0
5	DC, MD, VA, WV	250	14,637	3.1	0.8
7	FL	229	13,205	2.2	0.8
4	DE, PA	188	10,965	1.7	0.6
6	GA, NC, SC	325	20,418	1.7	0.6
1	CT, MA, ME, NH, RI, VT	119	8,284	1.5	0.4
8	AL, MS, TN	243	13,011	0.9	0.4
14	TX	257	18,825	0.9	0.4
17	AS, GU, HI, CA (northern)	137	11,283	1.0	0.4
10	IL	109	9,841	1.2	0.3
11	MI, MN, ND, SD, WI	256	13,401	0.7	0.3
13	AR, LA, OK	222	10,330	1.1	0.3
9	IN, KY, OH	243	15,967	0.6	0.2
12	IA, KS, MO, NE	161	7,142	0.6	0.2
18	CA (southern)	195	16,774	0.5	0.2
15	AZ, CO, NM, NV, UT, WY	141	8,235	0.3	0.1
16	AK, ID, MT, OR, WA	100	5,474	0.4	0.1
	All	3,483	225,226	1.4	0.5

Table 23. Chronic Hemodialysis Centers Reporting Patients with HIV Infection/AIDS, by End Stage Renal Disease (ESRD) Network, 1999, United States

AS denotes American Samoa, GU denotes Guam.

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National Surveillance of Dialysis-Associated Diseases, 1999

For the Time Period January 1, 1999-December 31, 1999

If you did not treat chronic non-transient in-center hemodialysis patients in 1999, do not fill out this form



ot treat chronic non-transient in-center hemodialysis patients in 1999, do not till out this form OMB NO.0920-0033 Exp.Date: 09/30/2002

(5-10) Provider Number	Name of Facility				
Present Address	City	State	Zip	Code	
PATIENT DATA					
1. How often does your facility routinely test seronegative (i.e.	negative for hepatitis B surface ar	ntigen and hepatitis B surface anti	body) patients for hepatitis	В	
surface antigen (HBsAg)? (14-15)		_			
0  No routine testing 1 Every m		Every 2 months			
3 Every 3-6 months 4 Every 7	-12 months 5	Other (specify)			
2. Which of these best describes your center's policy for hepati	tis B vaccination of patients: (choo	ose one) (16-17)			
1  Offer vaccine to patients	2	Vaccine is offered to patients a	t individual physician's officiation	се	
3 Do not offer vaccine to patients	4	Other, specify			
3. During 1999 did your facility offer the pneumococcal pneumo	nia vaccine to chronic in-center he	modialysis patients?	(18) 1 🗌 Yes 2	No	
3a. If Yes, what percent of the chronic hemodialysis pat pneumonia vaccine?	ients assigned to your center as of	December 6-11, 1999, have in th	e last five years received th	ne pneumococcal	
(19) 1 □ less than 25% 2 [	25-49% 3 50-74	% 4 🗌 75-100%	5 🗌 Unknown		
4. During 1999 did your facility offer the influenza (flu) vaccine to	chronic in-center hemodialysis pa	tients?	(20) 1 🗌 Yes 2 🗌	No	
4a. If Yes, what percent of the chronic hemodialysis pat	ients assigned to your center as of	December 6-11, 1999, received	he influenza (flu) vaccine o	during 1999?	
(21) 1 🗆 less than 25% 2 🗌	25-49% 3 50-74	% 4 🗌 75-100%	5 🗌 Unknown		
<ol> <li>During 1999, how many of your CHRONIC, NON-TRANSIEN ANTIGEN (HBsAg) negative to positive (i.e. had newly acquir positive before they were first dialyzed in your center)?</li> </ol>	ed hepatitis B virus infection. Do r	not include patients who were anti		(22-24)	
6. How many CHRONIC, NON-TRANSIENT in-center hemodial	sis <b>PATIENTS</b> were assigned to vo	our center as of December 6-11	9997	(25-27)	
6a. Of the patients counted in guestion 6, how many ha				(28-30)	
6b. Of the patients counted in question 6, were all or alr		·		(20 00) 1 □ Yes	
6b1) If Yes, how many were positive?				(32-34)	
6c. Of the patients counted in question 6, how many wer				(35-37)	
7. Of the patients counted in question 6, were all or almost all te		••••		1 🗆 Yes	2 🗌 No
(Note-this is NOT hepatitis B core antibody)	sted for <u>nepatitis C antibody</u> during	y 1999!	(30)		
7a. If Yes, how many were positive for hepatitis C antibody	?			(39-41)	
7b. If Yes, did any test positive for hepatitis C antibody ir			(42)	1 🗆 Yes	2 🗌 No
8. During December 6-11, 1999, how many of your chronic hen	nodialysis 8a	a. AV graft		(43-45)	
PATIENTS received hemodialysis through:		o. AV fistula		(46-48)	
(Note: these numbers should add up to the number of patie	nts in #6) 8c	c. Cuffed catheter		(49-51)	
	80	I. Non-cuffed catheter		(52-54)	
<ol> <li>Of the patients with catheters (questions 8c and 8d above), (Total should be the same as the number of catheter patien</li> </ol>	•	ing categories:			
New hemodialysis patient, awaiting fistula/graft	·			(55-57)	
Established patient, fistula/graft failed, new fistula/graft pla				(58-60)	
Established patient, fistula/graft placement impossible-ca				(61-63)	
Other, specify				(64-66)	
Public reportion burden of this collection of information is estimated to average					

Public reporting burden of this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to CDC, Project Clearance Officer, 1600 Clifton Road, MS D-24, Atlanta, GA 30333, ATTN.: PRA (0920-0033). Do not send the completed form to this address.

DIALYSIS POLICIES AND PRACTICES			
10. During December 6-11, 1999, how many of your hemodialysis patients	were treated with these of	lialyzer types: (write in the number of patients)	
a. High flux polysulfone (e.g. F60-F80)	(67-69)	e. Cellulose triacetate (e.g. BaxterCT)	(79-81)
b. Regenerated cellulose, cuprophane (e.g. TerumoCL-CT,			
AsahiAM, BaxterCF, Gambro-Lundia)	(70-72)	f. Hemophan (e.g. FoCus)	(82-84)
c. Low flux polysulfone (e.g. F5-F8)	(73-75)	g. PMMA (e.g. Toray)	(85-87)
d. Cellulose acetate		h. Other (specify)	
(e.g. BaxterCA110-210, AlthinMCA)			(88-90)
11. In 1999, did your facility reuse dialyzers for some or all patients?			
If Yes:		2)	
11a. What method is used to disinfect the majority of these dialyzers 1 □ Formaldehyde (formalin)		3) Glutaraldehyde (Diacide)	
3  Peracetic acid (e.g., Renalin, and others)		Heat	
5 Amuchina		] Other (SPECIFY)	
11b. Is bleach also used to clean the inside of these dialyzers?			
11c. Does your facility's policy allow dialyzer reuse on patients who			
The boes your racinity's policy allow diaryzer reuse on patients who	(95-96) 1		oositive patients
(Note: CDC guidelines permit dialyzer reuse for hepatitis C antiboo	( )		
12. At your center, where are medications from multidose vials most commo	nly drawn into syringes to	prepare for patient administration? (CHOOSE ONLY ONE)	
1 🗌 In a separate medication room or in a medication area separa	te from the dialysis statio	ns (97-98)	
2			
3  On a medication cart which is wheeled from patient dialysis st	ation to dialysis station		
DISEASES OR COMPLICATIONS			
13. During the year 1999, how many of your hemodialysis patients were kn	own to have a positive cu	Iture for vancomycin-resistant enterococcus (VRE)2	
13. Duning the <u>year</u> 1933, now many of your hemodialysis patients were kn		$\begin{array}{c} 1 & 1 \\ 1 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\$	
13a. If you treated VRE-positive patients, do you treat them in a roo	· · · · · · · · · · · · · · · · · · ·	,	
		100) 0 $\Box$ Never 1 $\Box$ Sometimes 2 $\Box$ Always	
14. During 1999 did you perform rectal swabs or stool cultures on some pa	(	· · ·	
(Do not include cultures done while a patient was hospitalized)		(101) 1 🗆 Yes 2 🗆 No	)
15. During the year 1999 did any of your hemodialysis patients have a pos	itive culture for methicillin	-resistant Staphylococcus aureus (MRSA)?	
		(102) 1 🗆 Yes	2 🗌 No
16. How many hemodialysis patients were treated with IV vancomycin durin	ng the <b>MONTH</b> of Decemb	per 1999?	
(Write in number of patients treated, NOT number of doses of vancomy	cin)		
17. At your center, has there been an attempt to insure that antibiotics are	,	(106) 1 🗌 Yes	2 🗌 No
If Yes, circle all measures that have been implemented: (107-112)		_	
1 A written policy on antibiotic use		Reason for antibiotic must be recorded in chart or on order for	orm
3 Automatic stop order (antibiotic must be reordered at intervals	,	Approval needed for use of certain antibiotics	
5 Formulary restriction (only selected antibiotics are available)		Other, specify	
18. Among the chronic hemodialysis patients assigned to your center as of antibody? Include only chronic in-center hemodialysis patients	, ,	, ,	(113-115)
18a. Of these HIV antibody positive patients, how many were known t			(116-118)
STAFF MEMBERS			(110 110)
	a weak of December C 11	10000 Include entry staff who had	
19. How many full-time and part-time staff were employed in your facility th direct contact with hemodialysis patients or equipment		-	(119-121)
19a. How many of these staff had ever in their lives received at least			(122-124)
	·		1 🗆 Yes 2 🗆 No
19b. Were all or almost all of these staff tested for hepatitis C antibout (Note-this is not hepatitis B core antibody)	ay (anti-nev) during 1995	(125)	
19b1) If Yes, how many were positive for hepatitis C anti	oodv?		(126-128)
			··/
NAME OF PERSON WHO COMPLETED THIS SURVEY			
Please PRINT:LAST NAME (129-139)		FIRST NAME (140 -150)	
Phone: ( )	Fax: (	) (161 - 170)	
Send Copy 1 of the completed form to your ESRD Network o	• • • •	-	
Call Elaine Miller (404-639-6422) with questions. Use of trade names is for identification only	and does not constitute endors	ement by the Public Health Service or the U.S. Department of Health and Huma	n Services.

# Appendix II Recommended Infection Control Practices for Hemodialysis Units

# Infection control precautions for all hemodialysis patients (A1)

- Wear disposable gloves when caring for the patient or touching the patient's equipment at the dialysis station; remove gloves and wash hands between each patient or station.
- Items taken into the dialysis station should either be disposed of, dedicated for use only on a single patient, or cleaned and disinfected before taken to a common clean area or used on another patient.
  - Nondisposable items that cannot be cleaned and disinfected (e.g., adhesive tape, clothcovered blood pressure cuffs) should be dedicated for use only on a single patient.
  - Unused medications (including multiple dose vials containing diluents) or supplies (syringes, alcohol swabs, etc.) taken to the patient's station should be used only for that patient and should not be returned to a common clean area or used on other patients.
- When multiple dose medication vials are used (including those containing diluents), prepare individual patient doses in a clean (centralized) area away from dialysis stations and deliver separately to each patient. Do not carry multiple dose medication vials from station to station.
- Do not use common medication carts to deliver medications to patients. Do not carry medication vials, syringes, alcohol swabs or supplies in pockets. If trays are used to deliver medications to individual patients, they must be cleaned between patients.
- Clean areas should be clearly designated for the preparation, handling and storage of medications and unused supplies and equipment. Clean areas should be clearly separated from contaminated areas where used supplies and equipment are handled. Do not handle and store medications or clean supplies in the same or an adjacent area to that where used equipment or blood samples are handled.
- Use external venous and arterial pressure transducer filters/protectors for each patient treatment to prevent blood contamination of the dialysis machines' pressure monitors. Change filters/protectors between each patient treatment, and do not reuse them. Internal transducer filters do not need to be changed routinely between patients.

- Clean and disinfect the dialysis station (chairs, beds, tables, machines, etc.) between patients.
  - Give special attention to cleaning control panels on the dialysis machines and other surfaces that are frequently touched and potentially contaminated with patients' blood.
  - Discard all fluid and clean and disinfect all surfaces and containers associated with the prime waste (including buckets attached to the machines).
- For dialyzers and blood tubing that will be reprocessed, cap dialyzer ports and clamp tubing. Place used dialyzer and tubing in a leak-proof container for transport from station to reprocessing or disposal area

# **Hepatitis B vaccination** (A1, A2)

- Vaccinate all susceptible patients against hepatitis B.
- Test for anti-HBs 1-2 months after last dose
  - if anti-HBs <10 mIU/mL, consider susceptible, revaccinate with an additional three doses, and retest for anti-HBs;
  - if anti-HBs  $\geq$ 10 mIU/mL, consider immune and retest annually give booster dose of vaccine if anti-HBs <10 mIU/mL and continue to retest annually.

# Management of HBsAg-positive patients (A1)

- Infection control practices for hemodialysis units for all patients.
- Dialyze HBsAg-positive patients in a separate room using separate machines, equipment, instruments, and supplies.
- Staff members caring for HBsAg-positive patients should not care for HBV susceptible patients at the same time (e.g., during the same shift or during patient change-over).

Patient Status	On Admission	Monthly	Semi- Annual	Annual	
All patients	HBsAg*, Anti-HBc (total) Anti-HBs, Anti-HCV, ALT				
HBV susceptible, including non-responders to vaccine		HBsAg			
Anti-HBs positive (≥10 mIU/mL), anti-HBc negative				Anti-HBs	
Anti-HBs and anti-HBc positive		No addition	No additional HBV testing needed		
Anti-HCV negative		ALT	Anti-HCV		
0	be known before the patient begins	dialysis.	<u> </u>	1	

# Routine serologic testing for hepatitis B virus (HBV) and hepatitis C virus (HCV) (A1)

# **Other infection control topics**

- Guidelines for vascular access use and preventing access-associated infections (A3, A4).
- Chronic hemodialysis patients should receive pneumococcal vaccine every 5 years and influenza vaccine every year (A5).
- Recommendations for prudent vancomycin use have been made by CDC and the Hospital Infection Control Practices Advisory Committee (A6). Cefazolin, a first-generation cephalosporin, may be substituted for vancomycin in some instances (A7).

# References

A1. Centers for Disease Control and Prevention. Recommendations for preventing transmission of infections among chronic hemodialysis patients. Mor Mortal Wkly Rep. 2001;In press.

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A3. National Kidney Foundation. Dialysis outcomes quality initiative. Clinical practice guidelines. Am J Kidney Dis. 1997;30:S137-S240

A4. Pearson ML, The Hospital Infection Control Practices Advisory Committee. Guideline for prevention of intravascular device-related infections. Am J Infect Control. 1996;24:262-293.

A5. Rangel M, Coronado V, Euler G, Strikas R. Vaccine recommendations for patients on chronic dialysis. Semin Dial. 2000;13:101-107.

A6. Centers for Disease Control and Prevention. Recommendations for preventing the spread of vancomycin resistance. Mor Mortal Wkly Rep. 1995;44 (No. RR-12):1-13.

A7. Tokars JI. Vancomycin use and antimicrobial resistance in hemodialysis centers. Am J Kidney Dis. 1998;521-523.

# Appendix III

# DIALYSIS SURVEILLANCE NETWORK

The Dialysis Surveillance Network (DSN), a voluntary national surveillance system monitoring bacterial infections and related events in hemodialysis patients, was initiated by CDC in August 1999. Both adult and pediatric dialysis centers treating outpatients are invited to participate.

Bacterial infections, especially those involving the vascular access site, cause considerable morbidity and mortality in hemodialysis patients. Due to frequent hospitalizations and receipt of antimicrobials, these patients are at high risk for infection or colonization with antimicrobial-resistant bacteria. However, there are few recent studies of bacterial infections in hemodialysis patients, and previously there were no standardized surveillance methods.

The purposes of the DSN are as follows:

- 1. To provide a method for individual hemodialysis centers to record and track rates of vascular access infections, other bacterial infections, and intravenous antimicrobial starts.
- 2. To provide rates for comparisons among various dialysis centers (benchmarking).
- 3. To use these data to motivate practice changes and to prevent infections, especially those caused by antimicrobial resistant organisms.

Participating centers may enter data on paper forms provided by CDC and receive a data analysis report every quarter. Alternatively, they may use our **Internet-based** system for data entry and analysis and generate and print reports whenever desired. While summary data are released, the data from individual centers are confidential and cannot be released to anyone other than the dialysis center reporting the data.

Unique features of the DSN include:

- User-friendly methods simplify reporting.
- Data collectors record the presence or absence of criteria for infections, not the infections themselves.
- A computer algorithm determines whether the infection case definitions are met.
- The data collector does not have to memorize case definitions.
- The frequency of blood culturing, a factor that may influence reported infection rates, is determined.
- Several different rates are reported to better characterize the situation at any given center.

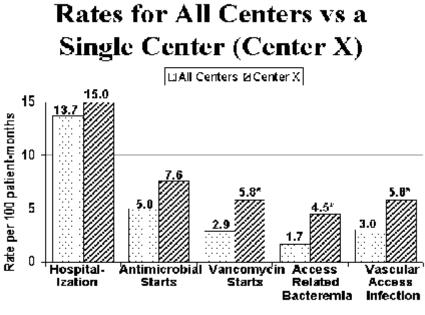
Centers are encouraged to participate in this system as a quality monitoring and control measure. For information about enrollment, call 404-639-6422 or go to http://www.cdc.gov/ncidod/hip/Dialysis/procedure.htm

# SAMPLE DATA: DIALYSIS SURVEILLANCE NETWORK

The graph below shows some sample data from this surveillance system. The rates for "All Centers" are compared with the rate at a single center, designated "Center X." Data are shown as the rate per 100-patient months, which is equivalent to the percent of patients having the stated event each month.

The five events in the graph include hospitalization, outpatient IV antimicrobial starts, outpatient IV vancomycin starts, access-related bacteremia (i.e., positive blood cultures thought to be related to the patient's vascular access device), and vascular access infection (i.e., includes episodes both with and without a positive blood culture).

As indicated by the asterisk (\*), "Center X" has some rates that are significantly higher than other centers. This was determined after standardizing the data, i.e., accounting for any possible differences in the mix of vascular access types between Center X and other centers<sup>1</sup>. We hope that these comparisons will assist dialysis centers in their attempts to reduce infections, use antimicrobials wisely, and limit the spread of antimicrobial resistance.



\*P<0.05 standardized for vascular access types

<sup>&</sup>lt;sup>1</sup>Infection rates vary widely depending on the type of vascular access. For example, the rate of access-related bacteremia was 0.22 per 100 patient-months for fistulas, 0.48 for grafts, 5.25 for noncuffed catheters, and 8.85 for cuffed catheters