

Rev. 04/01/03

**FARM SERVICE AGENCY
ADDENDUM TO THE PROVIDER AGREEMENT
TO ELECTRONICALLY FILE AND MAINTAIN
GRAIN WAREHOUSE RECEIPTS**

This Addendum between _____
(Provider) and the Farm Service Agency (FSA) authorizes the Provider to establish and maintain a database and system, referred to as a central filing system (CFS) for the purpose of electronically filing grain electronic warehouse receipts issued under the United States Warehouse Act (USWA) and permits the Provider to accept the filing of electronic warehouse receipts from other than USWA licensed warehouse operators in such electronic data filing system. Such electronically filed warehouse receipts for grain are hereafter referred to as "Electronic Warehouse Receipts (EWRs)."

Grain is defined as all products commonly classed as grain such as wheat, corn, oats, barley, rye, flaxseed, rough, brown, and milled rice, sunflower seeds, soybeans, emmer, sorghum, safflower seed, triticale, millet, oilseeds, pulses other than dry edible beans, and such other products as are ordinarily stored in grain warehouses, subject to the disapproval of the FSA.

This Addendum sets forth the Provider's minimum requirements for EWR record formatting, reporting requirements and the protocols to be used in the transmission of such information.

I. Receipt Record Data Requirements

FSA, in administration of the USWA, the regulations found at 7 CFR Part 735, the Provider Agreement To Electronically File and Maintain Warehouse Receipts and United States Act Documents and this Addendum, may at any time require the Provider to furnish information beyond the minimum requirements shown in this Addendum.

A. Required Information

The Provider shall, at a minimum, make the elements listed below available to every USWA and non-USWA licensed warehouse operator issuing EWRs in the CFS. The Provider shall ensure that all of these fields are completed by all warehouse operators. The Provider shall advise warehouse operators that it is the warehouse operator's responsibility to supply the necessary data to complete each element.

USWA license number, if applicable*

Receipt number and License type, US if Federally licensed, NL if not licensed or the two letter Postal abbreviation if State licensed, will be displayed in front of the receipt number and will be an integral part of the receipt number

Issuance date (date issuance batch file is received and accepted in the Providers system)

Receipt status

Indicate whether the receipt is "Not Negotiable" or "Negotiable" according to the nature of the receipt

Cancellation date (date cancellation batch file is received and accepted in the Providers system)

Name of warehouse

Location of warehouse (City)

Location of warehouse (State)

Warehouse operator
Location receipt issued (City)
Location receipt issued (State)
Received from
Holder
Bin number (if IP)
Warehouse Code
Storage (commingled or IP)
Paper receipt number (if applicable)
Received by Truck, Rail or Barge
Amount per unit of measure of prepaid in or out charges
Date to which storage has been paid or storage start date
Net weight
Commodity
Grade
Grade statement

Indicate: “Not graded on request of the depositor”, or “Grade determined by Federal Grain Inspection Service grader”, or “Grade determined by USWA licensed grader”.

Inspection certificate number (if applicable)
Dockage (if any)
Name of person authorized to sign warehouse receipt
Terms and conditions (Refer to Section III for USWA license warehouse operators and Section IV for state and non-licensed warehouse operators for terms and conditions that apply to each EWR that must be furnished by the warehouse operator issuing the EWRs).

*Enter Federal license number, if not licensed, zero fill field

B. Additional Information

The Provider shall, at a minimum, make the element listed below available to every USWA and non-USWA licensed warehouse operator issuing EWRs in the CFS. The Provider shall advise warehouse operators that it is the warehouse operator's responsibility to supply the necessary data to complete this element. This Addendum does not restrict the number of fields that may be made available to warehouse operators.

Commodity Credit Corporation (CCC) Agreement (Y or N)
Quality factors applicable to the specific grain

C. Required elements that can be modified without the warehouse operator being the holder

FSA will allow the warehouse operator to modify the elements, “date to which storage has been paid”, “storage start date”, or “prepaid in or out charges” without being the holder of the EWR. The Provider shall notify the current holder of the EWR of any changes.

D. Converting Electronic To Paper

When converting from an electronic to a paper warehouse receipt, the Provider shall advise the warehouse operator to print on the face of the paper warehouse receipt the EWR number.

II. Transmission of Data

Upon request by FSA, all transmissions of data shall be secured and transmitted via telecommunications hardware and software according to the requirements described in Attachment I Provider Specifications for interfacing with Warehouse Examiners' Communications Software (WECS).

III. Terms and Conditions For USWA Licensed Warehouse Operators

The following information must be recorded on all EWR's.

The statements:

Incorporated or Unincorporated and if incorporated, under what laws.

Insured, to what extent, by the warehouse operator against loss by fire, lightning and other risks.

Weight was determined by a weigher licensed under the USWA.

Grade was determined by a "Federal Grain Inspection Service grader", a "Grader licensed under the USWA", or "Not graded on request of depositor".

The grade or other class stated in this receipt is in accordance with the Official Grain Grading Standards of the United States. If the grade of grain is that for which no official grain standards of the United States are in effect, the grade or other class shall be stated in accordance with the standards, if any, adopted by the local board of trade, chamber of commerce, or by the grain trade generally in the locality in which the warehouse is located, subject to the authorization of the FSA, or in the absence of these standards, in accordance with any standards authorized for the purpose by the FSA.

The applicable charges claimed by the warehouse operator for storage and other services.

In the event the relationship existing between the warehouse operator and any depositor is not that of strictly disinterested custodianship, a statement setting forth the actual relationship.

IV. Terms and Conditions For State or Non-Licensed Warehouse Operators

The following information must be recorded on all EWR's.

The statements:

Incorporated or Unincorporated and if incorporated, under what laws.

Insured, to what extent, by the warehouse operator against loss by fire, lightning and other risks.

The authority, that the warehouse operator issues warehouse receipts under, state warehouse code for state licensed warehouses or Uniform Commercial Code for non-licensed warehouses.

The amount of bond or financial assurance backing the receipt.

Weight was determined by a weigher licensed or approved under what laws or authority or "Not weighed on request of depositor".

Grade was determined by a grader licensed or approved under what laws or authority or “Not graded on request of depositor”.

The standards on which the grade or other class is stated in this receipt.

The applicable charges claimed by the warehouse operator for storage and other services.

In the event the relationship existing between the warehouse operator and any depositor is not that of strictly disinterested custodianship, a statement setting forth the actual relationship.

Provider

Signature

Title

Date

Director, Kansas City Commodity Office, FSA

Date

The U.S. Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, gender, religion, age, disability, political beliefs, sexual orientation, and marital or family status. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of discrimination, write USDA, Office of Civil Rights, Room 326-W, Whitten Building, 14th and Independence Avenue, SW, Washington, D.C. 20250-9410 or call (202) 720-5964 (voice or TDD). USDA is an equal opportunity provider and employer.

The following statement is made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act of 1995, as amended. The authority for requesting the following information is the regulations at 7 CFR 735. The information will be used to complete the terms of a license and contract between the warehouse operator and the Deputy Administrator Commodity Operations. Furnishing the requested information is voluntary, however, without it, eligibility to become a provider under the United States Warehouse Act and the decision as to the applicant's eligibility for a provider agreement must be made in part on the basis of the information provided. This information may be provided to other agencies, IRS, Department of Justice, or other State and Federal law enforcement agencies, and in response to a court magistrate or administrative tribunal. The provisions of criminal and civil fraud statutes, including: 18 U.S.C. 286, 287, 371, 641, 651, 1001; 1014, and 31 U.S.C. 3729, may be applicable to the information provided.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays an OMB control number. The valid OMB control number of this information collection is 0560-0120. The time required to complete this information collection is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **RETURN THIS COMPLETED FORM TO THE KANSAS CITY COMMODITY OFFICE, POST OFFICE BOX 419205, KANSAS CITY, MO 64141-6205.**

DRAFT Grain Receipt Fields 6/28/2003

Grain Electronic Warehouse Receipt Profile

Name	Type	Len	Description
Receipt Number/Identifier	A	9	XX9999999 Unique number per warehouse – See Note 1
Warehouse Code	N	6	CCC Code Number
License Number	N	10	Warehouse number assigned by license authority. This should be zero if there is no license.
Negotiable	A	1	Y/N
Insured	A	1	Y/N – If the grain are insured by the warehouse operator, then this field should be “Y”.
Paper Number	N	6	Paper Number corresponding to Paper Receipt to which the electronic receipt was converted. This field is required when converting to paper.
Receipt Status	A	1	O=Open / C=Cancel /V=Void / P=Paper/S=Suspense
Current Holder’s Name/ID	A/N	40	Name of the current Holder of the receipt
Current Holder’s (City)	Alpha	40	Current Holder’s address (City)
Current Holder’s-State-Postal Abr	Alpha	2	Current Holder’s address (State-Postal Abr.)
Previous Holder’s Name/ID	A/N	40	Name of the Previous Holder of the receipt
Crop year	N	4	YYYY (i.e. 2003)
Issuance Date	N	9	MM/DD/YYYY Issuance Date
Type of Ag Product	Alpha	10	Type, Class and Sub-class of Ag Product See Note 2
Grade	A/N	10	Numerical Grade See Note 2
Dockage pct.	N	2.2	Dockage percentage. See Note 2
Lbs. Of Grain Incl. Dock	N	16	Gross weight including dockage See Note 2
Gross Bushels	N	16.2	Gross bushels See Note 2
Net Pounds	N	16	Gross weight less dockage See Note 2
Net Bushels	N	16.2	Gross bushels less dockage See Note 2
Remarks/Terms & Conditions	Alpha	254	Remarks/Terms & Conditions
Storage/Container	A	1	K=Bulk G=Bags I=Identity Preserved
Test Weight	N	2.2	Test Weight in Lbs. (Max 99.99)
Moisture pct	N	2.2	Moisture Percentage (Max 99.99)
FM pct	N	2.2	Foreign Matter Percentage (Max 99.99)
Total Damage pct	N	2.2	Total Damage % (Max 99.99)
Heat Damage pct	N	2.2	Heat Damage % (Max 99.99)
Protein pct	N	2.2	Protein % (Max 99.99)
SBK pct	N	2.2	Shrunken and Broken % (Max 99.99)
Total Defects pct	N	2.2	Total Defects % (Max 99.99)
Other Quality Factors pct	N	2.2	Other Quality Factors % (Max 99.99)
Other Special Qualities	A/N	50	Other noted special qualities
Date Grain Received	N	9	MM/DD/YYYY Date received for storage
Farm State Code	N	2	This is known by the issuer of the receipt and is assigned when receipt is issued. It is the CCC assigned state code for the grower.
Name	Type	Len	Description
Farm County Code	N	3	This is known by the issuer of the receipt and is assigned when receipt is issued. It is the CCC assigned county code for the grower.

Received From	A	40	Depositor of the commodity, can be the same as the producer name
Producer Name	A	40	The name of the grower and is only used for price support loans. This can be the same as Received From.
FSA Farm Number	N	8	FSA assigned Farm Number
Warehouse Name	A	80	Name of warehouse or elevator where the commodity is physically stored
Received City	A	30	This is the city where the commodity is physically stored
Received State	A	2	This is the state where the commodity is physically stored
Storage Date	N	9	Storage Start Date MM/DD/YYYY (The date storage fees actually start)
Storage Tariff Rates	N	16	Storage Tariff Rates (¢/unit/day)
Prepaid Storage Charge Amount	N	3.7	Amount ¢/\$ storage charges prepaid per unit
Receiving Charge Amount	N	2.2	Load-in charge per unit (See Receiving Charge Per)
Receiving Charge Paid	A	1	Y/N (Has the Load-in been paid)
Load-out Charge Amount	N	2.2	Load-out charge per unit (See Receiving Charge Per)
Load-out Charge Paid	A	1	Y/N (Has the Load-out been paid)
Tariff Charge Per	A	1	L=Lbs T=Ton C=Cwt B=Bushel
Received Via	A	1	Received Via (T=Truck/R=Rail/B=Barge)
Lot No	A	10	Lot Identity Number (Identity preserved Grain only)
Warehouse Operator is Depositor	A	1	Y/N Indicates is warehouseman is also the depositor or owner.
Warehouse Operator	A	100	This is the name of the <u>company</u> that holds the license for the warehouse. This may be the same as the "Issued By" field.
Parent Whse. City	A	30	This is the city location of the headquarters of the licensed warehouse
Parent Whse. State	A	2	This is the state location of the headquarters of the licensed warehouse
Warehouse Operator's Signature	A	40	This is the name of the <u>person</u> authorized by the warehouse operator to "sign" the receipt.
Paper Control No.	N	6	This is the control number pre-printed on a paper receipt which the warehouse may be required to include when converting paper to electronic.
Cleaning Chrg	N/A	4.2/1	\$ per L=Lbs T=Ton C=Cwt B=Bushel
Drying Chrg	N/A	4.2/1	\$ per L=Lbs T=Ton C=Cwt B=Bushel
Clean Dry Chrg	N/A	4.2/1	\$ per L=Lbs T=Ton C=Cwt B=Bushel
Hauling Chrg	N/A	4.2/1	\$ per L=Lbs T=Ton C=Cwt B=Bushel
Other Chrg	N	4.2/1	\$ per L=Lbs T=Ton C=Cwt B=Bushel
Total Cleaning Chrg	N	6.2	\$
Total Drying Chrg	N	6.2	\$
Total Clean Dry Chrg	N	6.2	\$
Total Hauling Chrg	N	6.2	\$
Total Other Chrg	N	6.2	\$
Legal Statement	Text	1024	Claims, insurance and weigher text
Warehouse Operator's Lien	Text	1024	Warehouse and handling tariff charges
Comment	Text	1024	

EWR Internal Grain Receipt Fields (Will be stored with the receipt). The end-user may be able to view some of these fields, but they will not be able to change the fields directly.

Name	Type	Len	Description
DPS State Code	N	2	Internally Assigned by EWR Provider when County office downloads a receipt. See Note 3
DPS County Code	N	3	Internally Assigned by EWR Provider when County office downloads a receipt. See Note 3
Loan Number	N	10	CCC – Assigned number Loan Number. (Received from CCC)
Curr Holder ID	A	7	Current Holder ID of Receipt
Dtm Curr Holder	D/T		Date and time receipt changed to current holder
Sub Holder ID	A	7	Current Subholder of receipt if under Loan
Prev Holder ID	A	7	Previous Holder of Receipt
Receipt Status	A	1	O=Open / C=Cancel /V=Void / P=Paper/S=Suspended
Receipt Type	A	1	Electronic / Paper
CCC Loan Status	A	1	P=Pending, A=Approved, R=Reject, E=Release, <empty>
Dtm Issued	D/T	20	Date and time issued
Dtm Cancel	D/T	20	Date and time cancelled
Dtm Last Change	D/T	20	Date and time last changed
Dtm Loan Sent	D/T	20	Date and time Loan Sent to CCC from EWR
Dtm Loan CCC	D/T	20	Date and time CCC (Download request)
User Issued	A/N	10	EWR user ID that issued the receipt
User Cancel	A/N	10	EWR user ID that cancelled the receipt
User Change	A/N	10	EWR user ID that changed the receipt
User Loan LDP	A/N	10	EWR User ID that requested the loan-LDP
Grower Holder ID	A/N	10	EWR Grower Holder ID Number (See Note 4)
Loanable	A	1	Y/N – Y=Grain has been flagged for Loan or LDP, so CCC can process it
Loan LDP Type	A	1	<empty>, L=Loan, P=LDP
Dtm Prev Holder Chng	D/T	20	Date and time previous holder changed
Curr Holder Name	A	40	Current Holder ID of Receipt
Prev Holder Name	A	40	Previous Holder ID of Receipt
CCC Loan Disbursement Dtm	D/T	20	Date and time received from CCC
CCC Loan Application Dtm	D/T	20	Date and time received from CCC
CCC Loan Repayment Dtm	D/T	20	Date and time received from CCC
CCC Loan Receive Dtm	D/T	20	Received from CCC

NOTE 1 – The XX prefix in receipt number will signify the type of license the receipt was issued under. If the location is federally licensed, then the prefix is US. If the location is state licensed, then the prefix is the postal state code. (i.e. GA is Georgia). If the location is not licensed, then the prefix is NL. The number following the prefix must be unique for each warehouse and within the range assigned to that warehouse by USDA.

NOTE 2 – The end-user will be responsible for entering all correct weight fields. The fields cannot be zero. They will not be calculated by the provider.

NOTE 3 –The DPS State Code and DPS County Code fields are the CCC assign county and state codes for the county office that “Downloads Receipt for Price Support” (DPS). The county office will be responsible for including these fields in a transmission file when requesting the receipt. The provider will then update these fields and “lock” the receipt to that county office, thus preventing multiple county offices from processing a loan or LDP. Once a receipt has been “locked” to that county, only that county can “unlock” or “release” the receipt.

NOTE 4 – The Producer Holder ID field is used for sending acknowledgments to the grower/producer when a receipt is issued or transferred under loan. If this field is empty, no acknowledgment will be sent to the grower. The ID is assigned by the Provider.

draft